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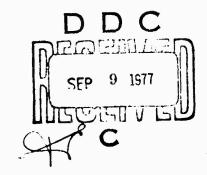
PROJECT STRESS SATELLITE COMMUNICATION TEST RESULTS

System Development Branch System Avionics Division

July 1977

TECHNICAL REPORT AFAL-TR-77-158

Final Report for Period June 1976 - March 1977



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characteristics and bit error rate performance when communicating through the ionospheric disturbance.

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FOREWORD

The effort reported in this Technical Report was accomplished between 1 June 1976 and 15 March 1977 under Project #12272205, LES 8/9 Flight Test Effort. The effort was in support of the Defense Nuclear Agency's Project STRESS.

This Report is a cooperative effort between the Air Force

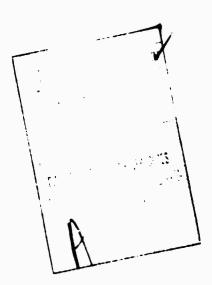
Avionics Laboratory (AFAL) and ESL, Incorporated, the latter party

with sponsorship of the Defense Nuclear Agency under RDT & E RMSS

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The tests described herein were supported by the 4950th Test Wing, MIT Lincoln Laboratory, ESD's Test Management Facility, and an extensive test team located at Eglin AFB, Florida responsible for the rocket launch, aircraft positioning, photo/radar coverage, and physical analysis of the barium cloud.

The support of an extensive team of engineers/contractors at Wright-Patterson AFB, Ohio was also instrumental in the successful completion of this test.



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I. TEST OBJECTIVES

The overall objective of the STRESS (Satellite Transmission Effects Simulation Study) Experiment was to evaluate the performance of a UHF satellite communication system in an artificially disturbed ionosphere in an effort to better predict the expected performance of such communication systems in a nuclear environment. The specific objectives of the experiment were:

- a. To exercise the techniques used for and to verify assumptions made in predicting the performance of communications systems operating through striated plasmas. These techniques involve gradient drift plasma instability phenomenology for the determination of the striated environment, multiple thin phase screen propagation theory, and computer simulations of system performance that utilize propagation inputs.
- b. To obtain data on late-time striation dissipation mechanisms.
 To date no theories exist that describe how long striations
 from barium or nuclear detonations are expected to persist.
- c. To measure the performance of the LES 8/9 UHF command post force element forward and report-back communication links operating through a fading environment created by high altitude barium release and to assess the implications for operations in a nuclear environment. Since the LES 8/9 systems were chosen to meet objective (a) and since the system represents a design phase of future AFSATCOM concepts, an assessment of the performance of these systems through striated environments is called for.

II. TEST ORGANIZATION

The STRESS Project is under the direction of the Defense Nuclear Agency (DNA). The field operations necessary to accomplish the satellite measurements were planned and carried out by Stanford Research Institute (SRI). The Electro-magnetic Systems Laboratories (ESL) designed and built special test hardware for the satellite communication measurements. The Air Force Avionics Laboratory (AFAL) provided and operated the LES 8/9 airborne and ground satellite communication equipment. The collection of the satellite communication data was a joint effort of AFAL and ESL.

A list of the field experiment participants and their areas of responsibility is as follows:

DNA	Program Director
SRI	Test Planning and Direction, operation of the TV tracking system, FPS-85 radar, and ionosonde.
ESL	Construction of special measuring equipment and participation in satellite communication system measurements
AFAL	Provide and operate airborne and ground satellite communication system
4950th	Test aircraft support
TIC	Ground photography
SDC	Rocket operations
USU	Probe payloads
Thiokol	Barium payloads
LMSC	Optical interferometer
ESD	Satellite support
RDA	Probe rocket coordination
ADTC	Range operation

III. TEST CONCEPT

The basic concept of the STRESS Experiment involves at least two communication terminals, a striated plasma in the ionosphere, and a UHF satellite. In the experiment the two terminals attempt to communicate via the satellite with UHF signals between one terminal and the satellite traversing the striated plasma. The properties of the striated plasma perturb the UHF signals and, thereby, stress the communications link. The two communications terminals were the AFAL rooftop facility and aircraft Cl35/662 linked via the LES 8 (for two releases) or LES 9 (for three releases) satellites.

The first high altitude barium releases provided the plasmas which were diagnosed using rocket probe, optical, and RF techniques. The five STRESS releases were preceded by a pre-STRESS release with all bearing girl's names in alphabetical order as follows: pre-STRESS - ANNE, STRESS - BETTY, CAROLYN, DIANNE, ESTHER, and FERN. The pre-STRESS release was a field test to determine the capability of positioning the aircraft in the cloud shadow.

The use of chemicals to modify or artificially disturb the ionsophere is a technique which has received extensive development over the past years. An artificial barium ion cloud was used to produce propagation path disturbances during the ARPA SECEDE Program, which involved radar propagation through the disturbed ionosphere.

The barium clouds used in the STRESS Test were generated with the launch of 48 kilograms of barium chips to an altitude of approximately 185 kilometers. The barium was vaporized by a small thermite explosion. Action of the sun's ultra violet rays on the barium generated barium ions and free electrons. The barium which did not ionize formed spherical clouds (neutral clouds) which drifted according to the high altitude winds (30 to 100 meters per second generally away from the sun). The ionized barium also formed in spherical

clouds initially but soon changed into elliptical clouds tilted along the magnetic field lines. The ionized plasma was confined, and its diffusion spread occurred only in the direction of the magnetic field lines. Figure 1 from Reference 1 illustrates the subsequent ion cloud evolution from two different views. The bottom row of sketches represents the more typical view of an ion cloud in the process of striating as it would appear from sites with arbitrary magnetic field line aspect angles. The top row of sketches show the corresponding appearances of the ion cloud when viewed up the field lines. The typical cloud evolution from the elliptical form with the circular crosssection (labelled "AMBIPOLAR DIFFUSION") to a striated cloud is driven by the neutral wind attempting to drag the denser regions of the barium cloud with it (and thus with the neutral cloud) in conflict with the magnetic field confinement forces (or E x B forces) on the entire ion cloud. (If the neutral cloud were shown in this figure, it would be seen moving to the left.) Initially the denser portion of the cloud is dragged to one side of the cloud forming the "hard edge," or "BACKSIDE STEEPENING." Further wind drag pulls "fingers," or "sheets," of dense plasma from the "hard edge" which eventually pinch off to form isolated "striations." When viewed with a typical magnetic aspect as in the bottom row, the appearance of isolated striations embedded in a background plasma cannot be distinguished from the appearance of the overlap of several sheets of varying thicknesses. Both the sheets and striations cause UHF signal amplitude scintillations while the effect of the unstriated, or "smooth," ion cloud (farthest from the neutral cloud and to the right in the figure) is a slight phase waift due to the elevated integrated electron content through the medium. While the initial barium release occurs at approximately 185 kilometers altitude, the free electrons tend to drift up and down the magnetic field lines between altitudes of approximately 140

FIGURE 1 Schematic Diagram of Barium Ion Cloud Morphological Development

kilometers and 210 kilometers, Figure 2. The development of the striated line might appear as in Figure 3 when viewed across the field lines.

Barium clouds resemble weather clouds in that all of the significant observation light which comes from them is reflected sunlight; their glow due to molecular recombination is insignificant. Barium clouds launched at sunset are best observed after the time when the sun is 6° below the horizon and before sunset at the 185 kilometer altitude. The spherical neutral cloud reflects sunlight of a bluish and greenish tint. The ionized portion of the cloud reflects sunlight of a pinkish or reddish tint, Figure 4.

For Project STRESS the barium releases occurred at various times relative to the 6° sun depression angle, Table 1. The barium clouds which were released early and passed through their early stage of development obscured by the sky glow became visible well into their development and remained visible further into their development than those launched later. The variety of launch times allowed optical observation of the late-time cloud development and will provide data on structure dissipation mechanisms.

The Honest John-Hydac rocket launches carrying the barium payloads took place from Eglin's launch site, A-15 on Santa Rosa Island. A series of radars and optical TV trackers were located along the Florida coast at locations indicated in Figure 5 to position the aircraft beneath the ion cloud RF shadow to satellite emissions. A photographic coverage net, one mobile site omitted, is also indicated in the map.

The concept of using aircraft Cl35/662 to fly under the barium cloud projections from LES 8 or LES 9 was intrinsic to the test concept. Using satellite ephemeris data and nominal cloud drift assumptions two test windows for operation of the aircraft with the LES 8/9 satellites were generated

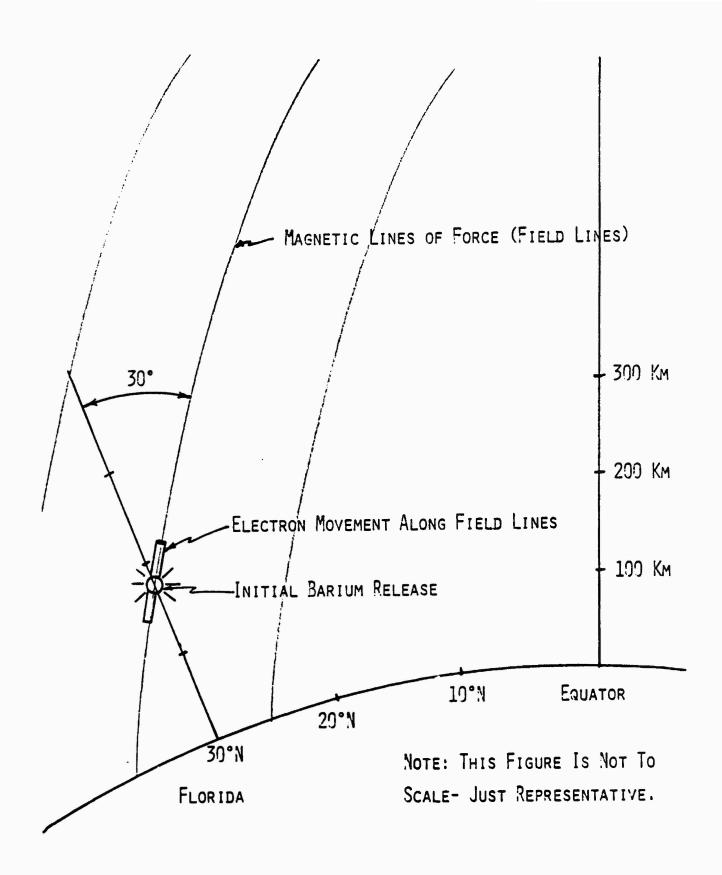


FIGURE 2 Propagation of Free Electrons Along Field Lines

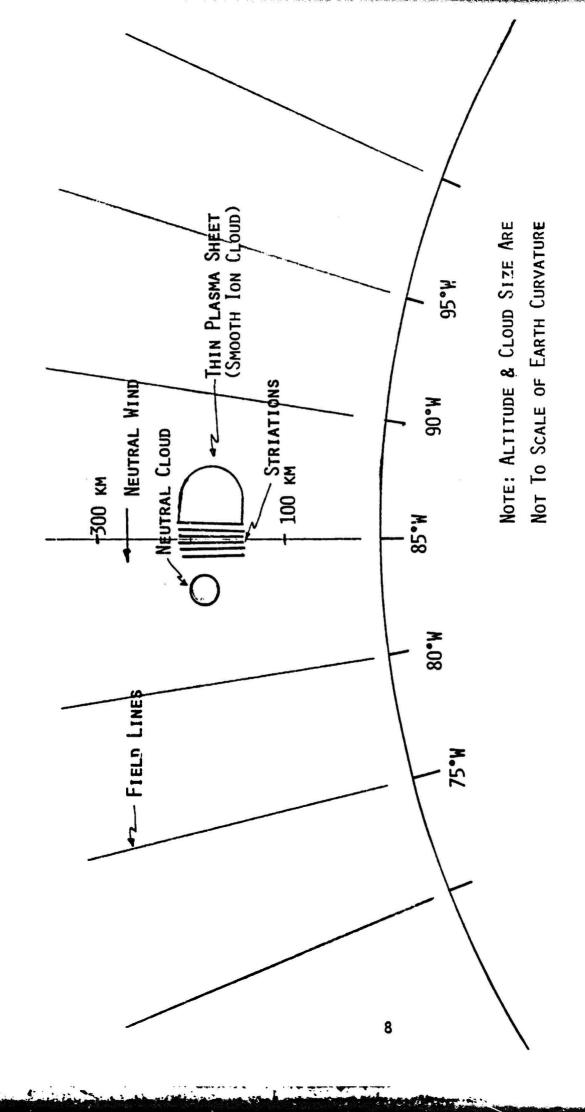


FIGURE 3 Movement of Barium Ion Cloud

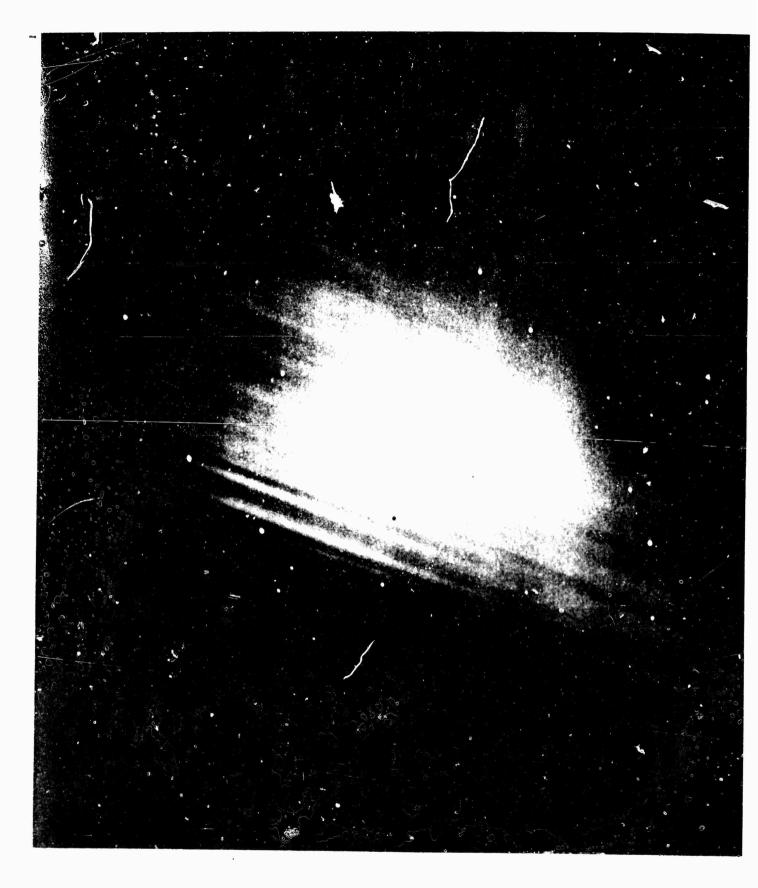


FIGURE 4 Photograph of Barium Ion Cloud

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TABLE 1: STRESS Events -- Summary (Primary Source -- T.I.C., Bedford, Mass.)

Event Date	BETTY 26 Feb 77	CAROLYN 2 Mar 77	DIANNE 7 Mar 77	ESTHER 13 Mar 77	FERN 14 Mar 77
Release Time (Z)	2352:29	2354:10	0001:10	2301 8	2246:09
Altitude of Release (Radar)	179	191	186	189	186
Optical Coverage (Z)	0012-0042	0005-0043	0010-0045	0015-0050	0015-0050
Optical Coverage (Release + min)	R+20 - R+50	R+11 - R+49	R+9 - R+44	R+74 - R+109	R+89 - R+124
Radar Track Duration	0047-0258	2358-0202	0004-0149	2304-0237	2246-0109
Duration of Fading	0012-0158	0010-0144	0009-0126	2301-0244	2247-0108
Speed of Drift (m/s) (all clouds moved east to southeast)	~45	~60	46	36	~20

FIGURE 5 STRESS Instrum to Location

before the test and are shown in Figure 6. These windows give a qualitative feel for the geometry involved. Actual test operations windows differed somewhat in BETTY and CAROLYN from those shown due to cloud drift. The flight path of the aircraft in the shadow of the cloud was designed primarily to cut across the striations and to measure the signal fading caused by the diffraction pattern of the striations. Some passes, "parallel runs or end runs," were made along the striations to measure their extent and to investigate propagation phenomena. Figure 7 shows the cross striation aircraft flight pattern through an idealized cloud shadow. Figure 8 from Reference 2 shows an aircraft trajectory through an actual projection of the pre-STRESS event ANNE from LES 9. Figure 9 shows a similar projection, true to shape but not true to position, of the STRESS event DIANNE from LES 9 at about release +30 minutes for comparison.

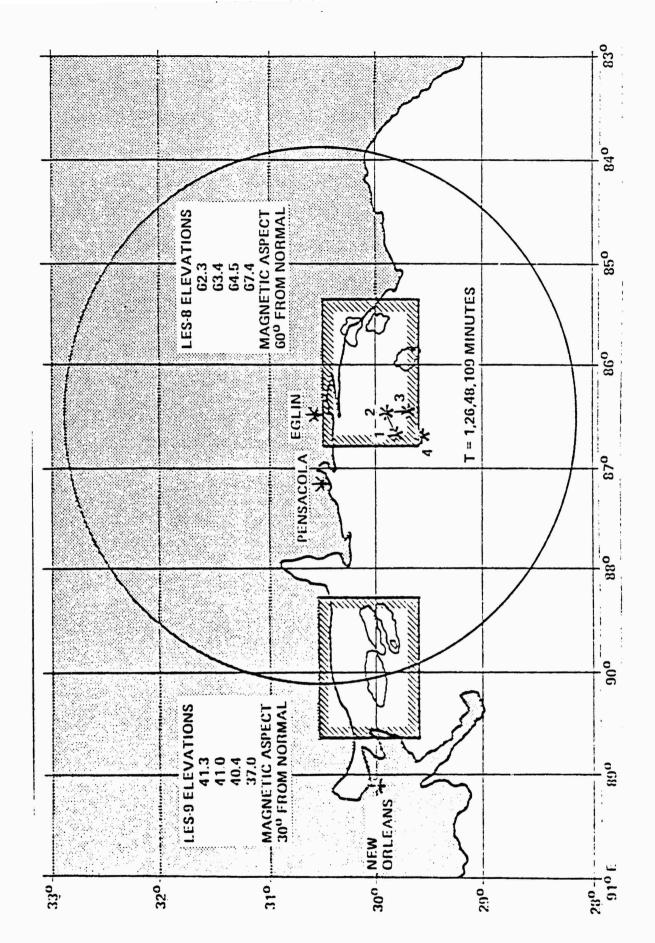
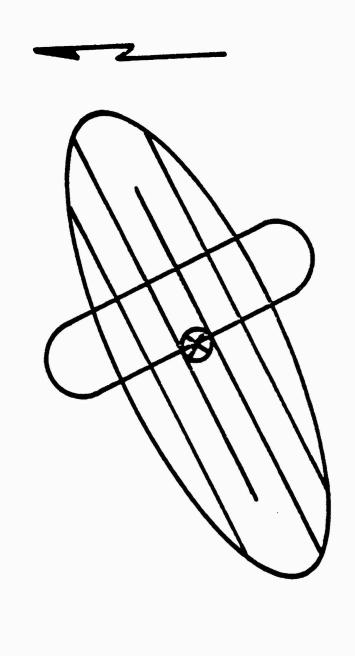
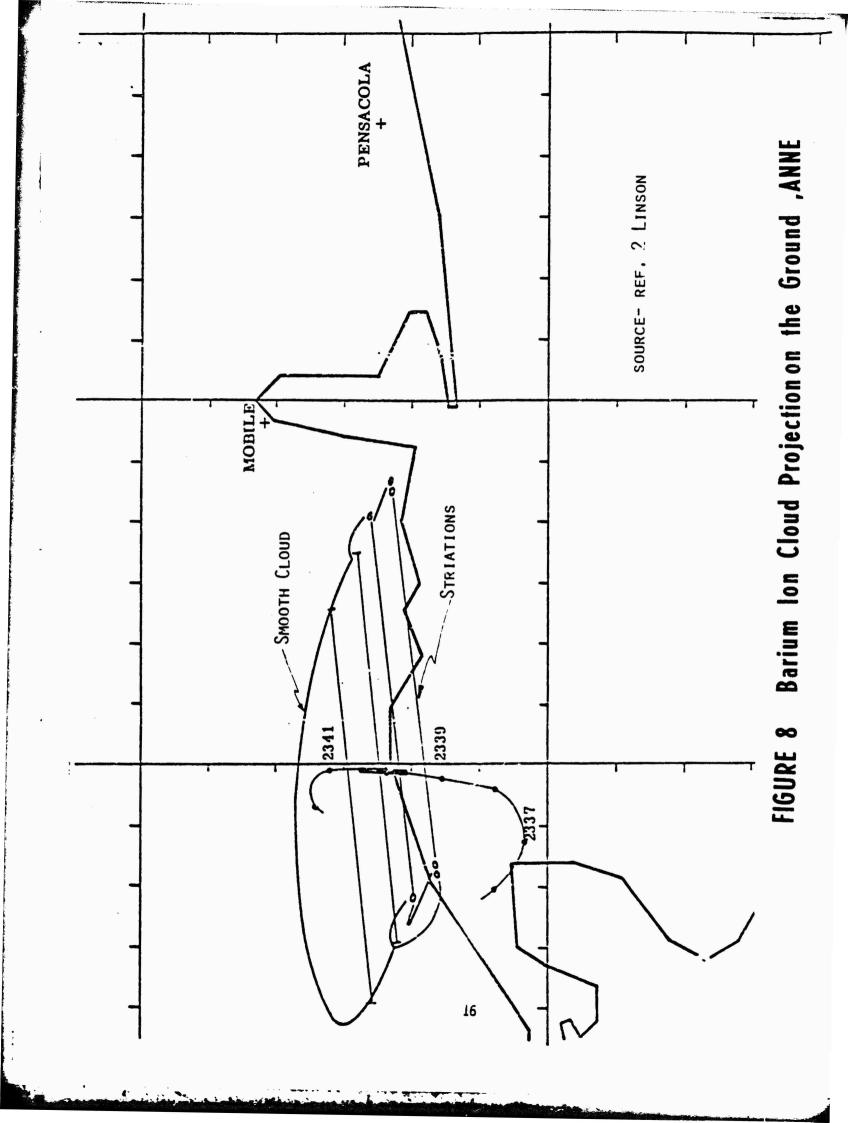
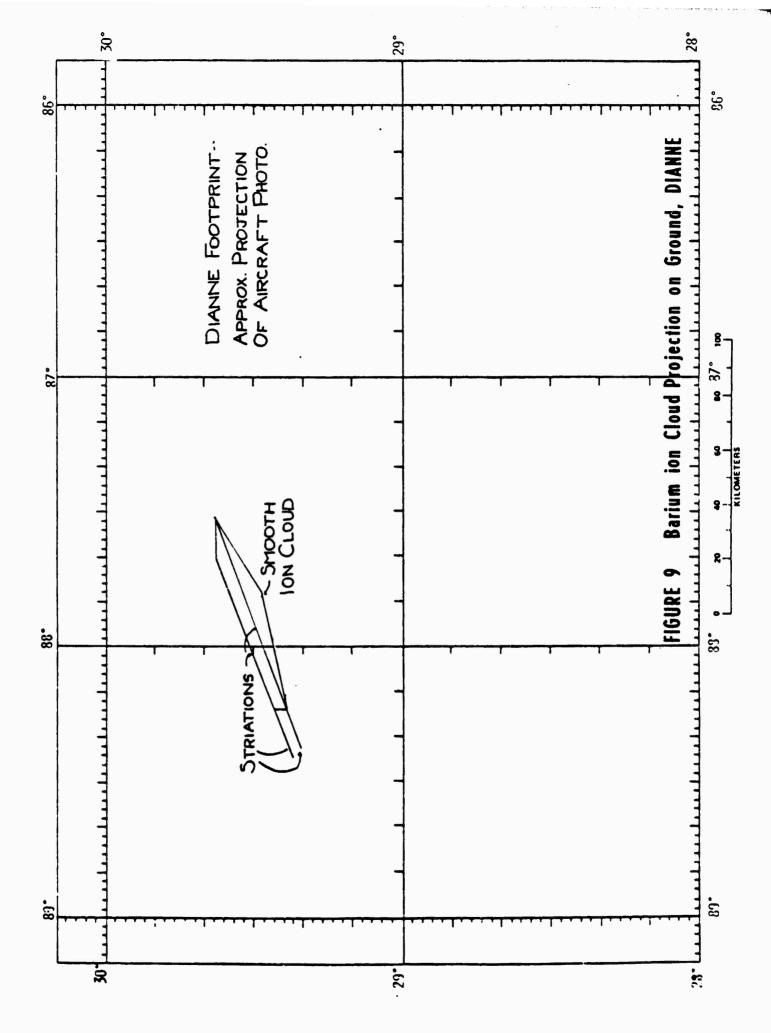


FIGURE 6 STRESS Late February Geometry







IV. TEST CONFIGURATION

For the STRESS tests three basic satellite test configurations were utilized. Test Configuration #1, Figure 10, provided UHF forward downlink data to the aircraft and a CW UHF uplink probe from the aircraft through the barium cloud. The uplink probe was sampled at the satellite and sent down on the K band downlink to the rooftop where it was recorded. The K band forward uplink was provided either by the rooftop or by the aircraft.

Test Configuration #2 had been planned to test the report-back link.

However, due to equipment problems this link was not tested during the STRESS test.

Test Configuration #3, Figure 11, involved an uplink and downlink UHF probe between the aircraft and the satellite. The downlink UHF tone was recorded on the aircraft. The uplink probe was sampled in the satellite and transmitted downlink via K band to the rooftop. Test Configuration #3 allowed a comparison of the uplink and downlink UHF fading at frequencies separated by approximately 90 MHz.

One other test configuration was used to evaluate multipath from the aircraft, Figure 12. The aircraft transmitted a UHF pseudo random (PRN) sequence through the transponder mode of the satellite. The UHF PRN sequence was downlinked from the satellite to the rooftop where a correlation process was used to indicate the relative strength of the direct and reflected UHF signals. Note that no barium cloud was needed for this configuration.

The block diagram of the aircraft equipment used in STRESS configuration fl is shown in Figure 13. The K band received signal was used to measure doppler from the satellite. A scaled version of that doppler, derived in a divide by operation in the "frequency unit," was then used to precorrect the UHF uplink probe frequency to remove the effect of the doppler. The UHF forward downlink

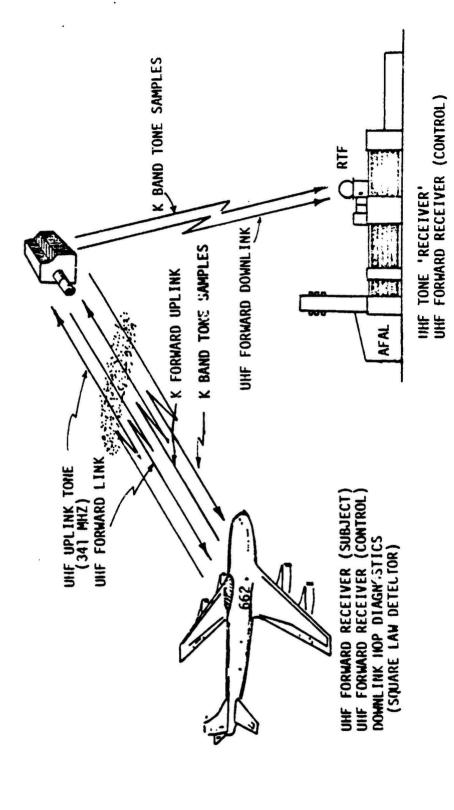
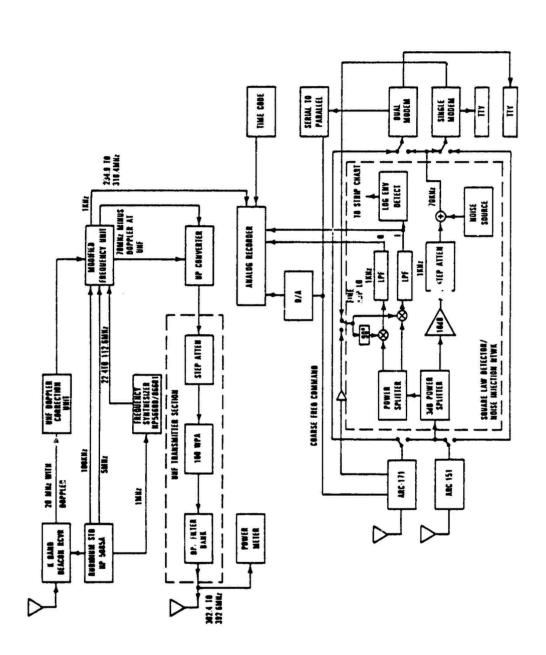


FIGURE 10 STRESS TEST CONFIGURATION NO. 1

FIGURE 11 STRESS Test Configuration 3

STRESS Multipath, PRN, Configuration FIGURE 12



was received and a dehopped version of the received signal used to indicate the UHF signal fading level. The coarse frequency command signal from the dual modem serial-to-parallel processor to the ARC-171 was tapped, processed, and recorded for later analyses to be performed in conjunction with recordings of the dehopped signal. The actual forward link data is received with two modems and typed out on teletypewriters for later error rate analysis. The signal strength into one of the modems (the subject modem) is attenuated while maintaining the same noise floor in order to sweep out performance versus received signal level. The other modem serves as a control. The block diagram of the rooftop equipment configuration for STRESS Test Configuration #1 is shown in Figure 14. The K band signal was received and demodulated. The I and Q samples were separated, processed, and recorded to obtain phase and amplitude information.

The block diagram of the aircraft equipment used in STRESS Test Configuration #3 is shown in Figure 15. The K band receiver determined the downlink doppler which was scaled to correct the UHF downlink and precorrect the UHF uplink signal to remove the effects of the doppler. The block diagram for the rooftop equipment used in STRESS is very similar to that used in Test Configuration #1, as shown in Figure 16. Again, the K band received signal was separated into I and Q channel samples, processed, and recorded for further analysis of the phase and amplitude variations.

The block diagram of the aircraft PRN sequence equipment used in the multipath test is shown in Figure 17. The 125 KHz (8 microseconds per symbol) pseudo random sequence (length 127) was transmitted from the aircraft ARC-146 UHF transmitter at a 1 kilowatt level. Various transmit antennas were used during the test to determine the isolation each provides between the direct

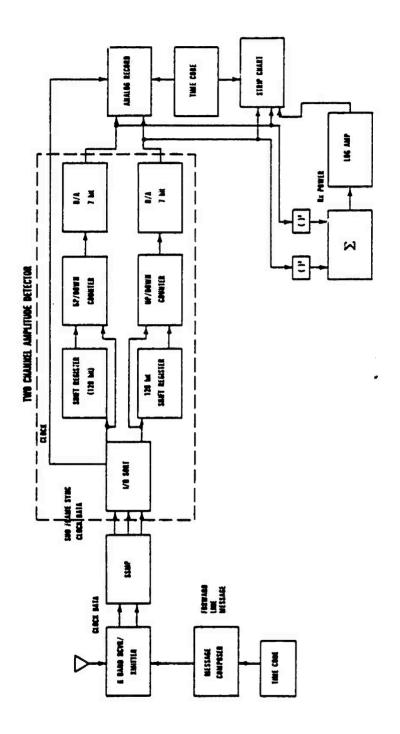


FIGURE 14 STRESS Test Configuration 1 Rooftop Equipment

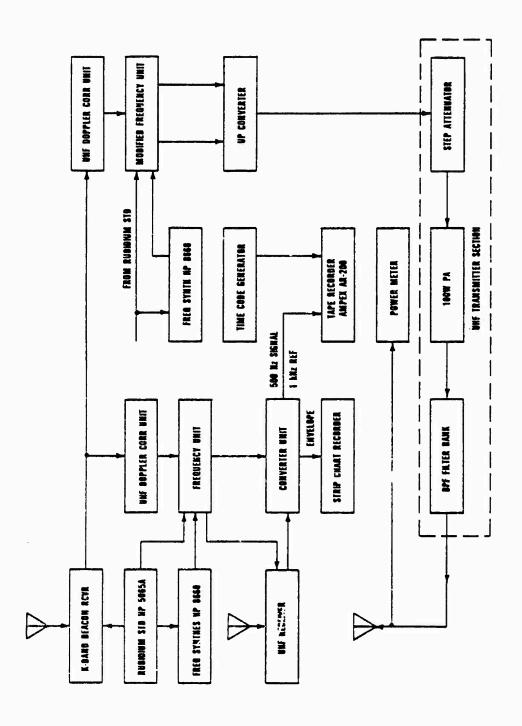


FIGURE 15 STRESS Test Configuration 3 Aircraft Equipment

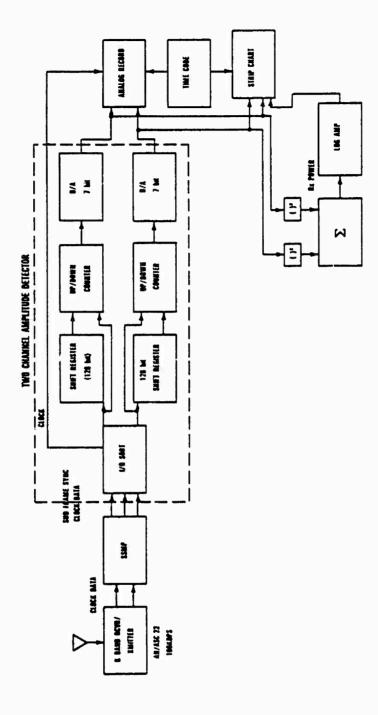


FIGURE 16 STRESS Test Configuration 3 Rooftop Equipment

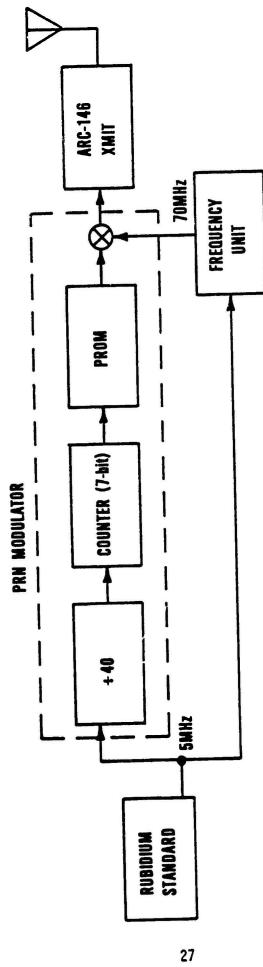
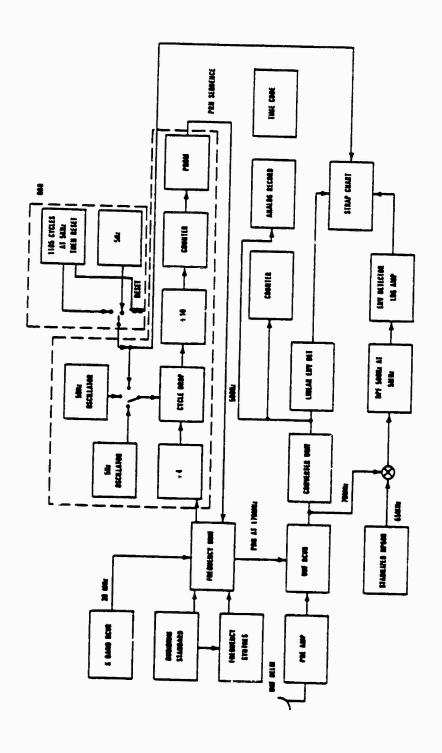


FIGURE 17 Block Diagram of Aircraft Multipath, PRN, Equipment

and each reflected signal. The block diagram of the rooftop PRN equipment is shown in Figure 18. By eliminating occasional bits in the repetitive sequence the rooftop correlated the locally generated PRN sequence with first the direct path PRN sequence and at a later time with the reflected PRN sequence coming from the aircraft through the satellite.

The frequency plan used during STRESS is shown in Table 2. Shown is the nominal frequency of the uplink tone used in each of the five releases and the nominal frequency of the downlink tone used simultaneously with the uplink tone during ESTHER. These tones were doppler corrected using AN/ASC-22 20 MHz plus doppler estimates derived from received K band signals from the LES 9 dish at 36.84 GHz or from the LES 8 dish at 38.04 GHz. The doppler correction ideally would divide the K band doppler by the ratio of the K band frequency to the UHF frequency to produce an estimate of UHF doppler. In the actual hardware realization the divide-by ratio was limited to integral values. The ratio chosen for each release is shown with the parenthetical entries indicating offsets from ideal. The suboptimal choices for the BETTY and CAROLYN uplink frequency allows a small component of the aircraft-to-satellite doppler to enter into the phase data. While changes in aircraft heading are obvious in the data with a 400 Hz change in uncorrected doppler producing a 0.7 Hz change in the doppler corrected signal, the phase data corruption produced by bumpiness of flight on straight and level data runs is insignificant.

Shown in Table 2 are the synthesizer settings used to adjust the uplink and downlink frequencies. The 1 Hz settability of the HP 8660 frequency synthesizers used is reflected in the table with parenthetical entries indicating the fractional offset required for a zero frequency demodulator offset. Ideally the resulting measurements should reflect these offsets, but the long term drift of the aircraft rubidium standards and of the satellite



Block Diagram of Rooftop Multipath, PRN, Equipment FIGURE 18

Table 2: Uplink Tone Frequency Plan

P 8660 etting (Hz) 1 851 787 (25) 1 296 318 (00) 9 823 297 (01)				lable 2: Uplink	Uplink lone Frequency Plan		
BETTY & 341 666 602 LES 9 Dish 108 (18) CAROLYN DIANNE 341 111 132 LES 9 Dish 108 (00007) ESTHER & FERI 339 644 727 LES 8 Dish 112 (0006)		Event	Frequency	K-Band Reference Source	* Setting	HP 8660 Setting (Hz)	Satellite Uplink Synth Octal
DIANNE 341 111 132 LES 9 Dish 108 (00007) ESTHER & £ FERH 339 644 727 LES 8 Dish 112 (0006)		BETTY & CAROLYN	341 666 602	LES 9 Oish	108 (18)	61 851 787	0674525
ESTHER & FERN 339 644 727 LES 8 Dish 112 (0006)		DIANNE	341 111 132	LES 9 Dish	108 (000007)	61 296 318 (00)	1 202990
	30	ESTHER & Feri	339 644 727	LES 8 Dish	112 (0006)	59 823 297 (01)	0650345
DOWNLINK TONE FREQUENCY PLAN USED IN ESTHER			J	DOWNLINK TONE FREQU	JENCY PLAN USED IN ES	TIER	
ESTHER 250 326 392 LES 8 Dish 152 (04) 40 184 313 (DOWNL)		ESTHER	250 326 392	LES 8 Dish	152 (04)	40 184 313 (35)	4650344 (DOWNLINK SYNTH OCTAL)

clock apparently caused the observed demodulator offsets to deviate from ideal.

Also shown in the table are the satellite telemetry display values in octal for the downlink and uplink synthesizers. It should be noted that the choice of the UHF frequency synthesizer settings in the satellite for the uplink and for the downlink are not independent, and that the ESTHER downlink frequency shown corresponds to the uplink frequency used simultaneously in ESTHER.

V. DESCRIPTION OF STRESS EVENTS

The dates and launch times of the five STRESS events are listed in Table 1.

The first barium release on 26 February 1977, BETTY, occurred at 2352:292 at an altitude of 179 kilometers. Radar returns from the ion cloud were received as late as 0258Z. However, fading was observed only as late as 0158Z, indicating either a problem with the radar positioning of the aircraft or a dissipation of the barium cloud. Radar track of the ion cloud did not commence until 0047Z, although fading was observed much earlier as the aircraft maneuvered in the vicinity of the expected projection location. BETTY moved in a general eastward or southeastward direction, as did all the STRESS ion clouds, at a moderate velocity (40 meters/second). The BETTY ion cloud was unusual in that it was exceedingly narrow as viewed up the field lines during times when it was optically visible. Whether this narrowness was due to improper venting of the barium vapor at release is not known. In most other aspects BETTY was a normal cloud. Strong fading was observed on at least 5 of the 29 total passes.

The second barium release, CAROLYN, occurred on 2 March 1977 with a release time of 2354:10Z at an altitude of 191 kilometers. Radar returns were received from the cloud until 0202Z. However, radar positioning as indicated by fading at the aircraft was valid only until 0144Z. CAROLYN moved at a relatively high velocity (60 m/s). In most other respects it was a nominal cloud. Good up-the-field line photographs were obtained from CAROLYN at times later than those taken up to that date in previous barium release programs. A total of 21 data passes were made by the aircraft with the strong Rayleigh-like fading observed on 6 of them. Some fading was obvious on a total of 16 passes.

The third barium release of STRESS, DIANNE, occurred on 8 March 1977 (7 March local time) at 0001:10Z at an altitude of 186 kilometers. Radar track was maintained until 0149Z with fading observed until 0126Z. Of the total 18 data passes made by the aircraft some fading was observed on 15 with strong fading, either early-time-like or Rayleigh-like, being observed on 11 passes. DIANNE was unusual in that the ion cloud developed a right angle bend as viewed up the field lines. The cause of this bend is currently believed by plasma phenomenologists to be high altitude wind shear because of a deformation of the neutral barium cloud that was also observed. Some of the strongest fading to be observed during the series was seen in DIANNE, which may be attributed to its unusual geometry.

The fourth barium release, ESTHER, occurred on 13 March 1977 with a release time of 2301:08Z and an altitude of 189 kilometers. This release occurred earlier than the preceding three by more than 50 minutes. The cloud drifted at a slower rate than the previous releases, 36 m/s. Optical coverage extended from 74 to 109 minutes after release, late into the cloud development, and may reveal information about late-time striation dissipation mechanisms. Radar returns for cloud tracking were received as late as 0237Z, three hours and thirty minutes after release. The aircraft by maneuvering in the proper vicinity observed fading until 0244Z. Of the total 45 data passes made by the aircraft fading was observed on 42 with early-time, or Rayleigh-like, fading on 29 passes. An unexpected patch of fading was fortuitously observed at release because of the aircraft's proximity to the initial release point projection. While most of the ionization in the ion cloud is produced by solar ultraviolet nominally 30 seconds after release, some of the barium is ionized thermally by the heat of the thermite explosion that initiates

release. Structure in this thermally produced ionization was observed to cause fading and phase effects as early as 10 seconds after release, Figures 19 and 20.

The fifth and last release, FERN, occurred on 14 March 1977 with a release time of 2246:09Z at an altitude of 186 kilometers. Radar returns from the cloud were received as late as 0109Z. Fading was observed at the aircraft until about the same time. Of a total of 33 passes made by the aircraft fading was observed on 29 with Rayleigh-like, or early-time, fading observed on 22 passes. The optical appearance of FERN (release plus 89 to release plus 124 minutes) is enigmatic. The ion cloud resembles none of the barium ion clouds observed in the past. The drift of FERN was the slowest of the releases (approximately 20 meters per second). Several of the late-time passes produced fading usually typical of early-time fading. The interpretation of FERN phenomenological data may be complicated by sporadic E at the end of the test.

The aircraft trajectories with fading occurrences indicated and the radar cloud track projection positions are shown in Figures 21 to 36 for each of the five barium releases.

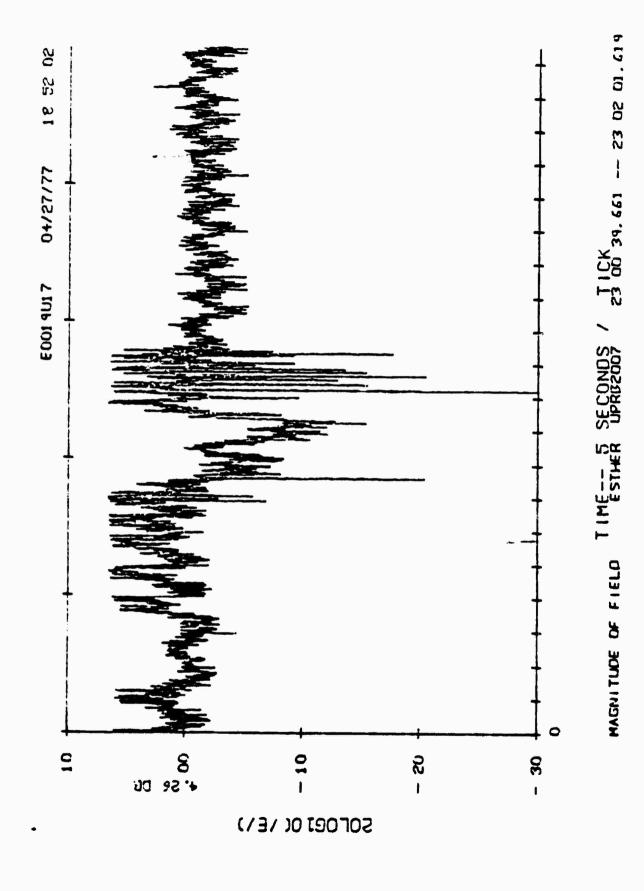


FIGURE 19 Fading of Uplink Tone Due to Release Thermal Ionization

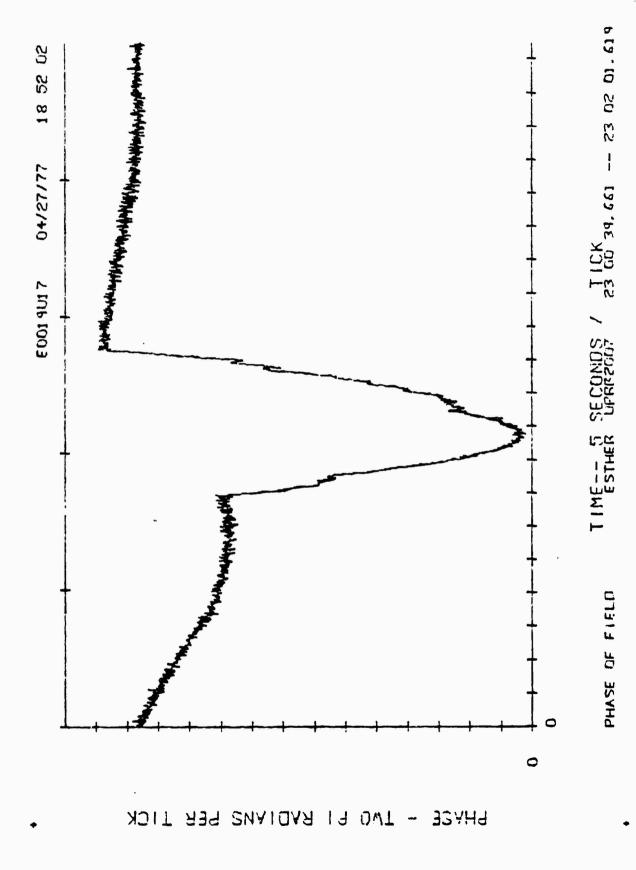
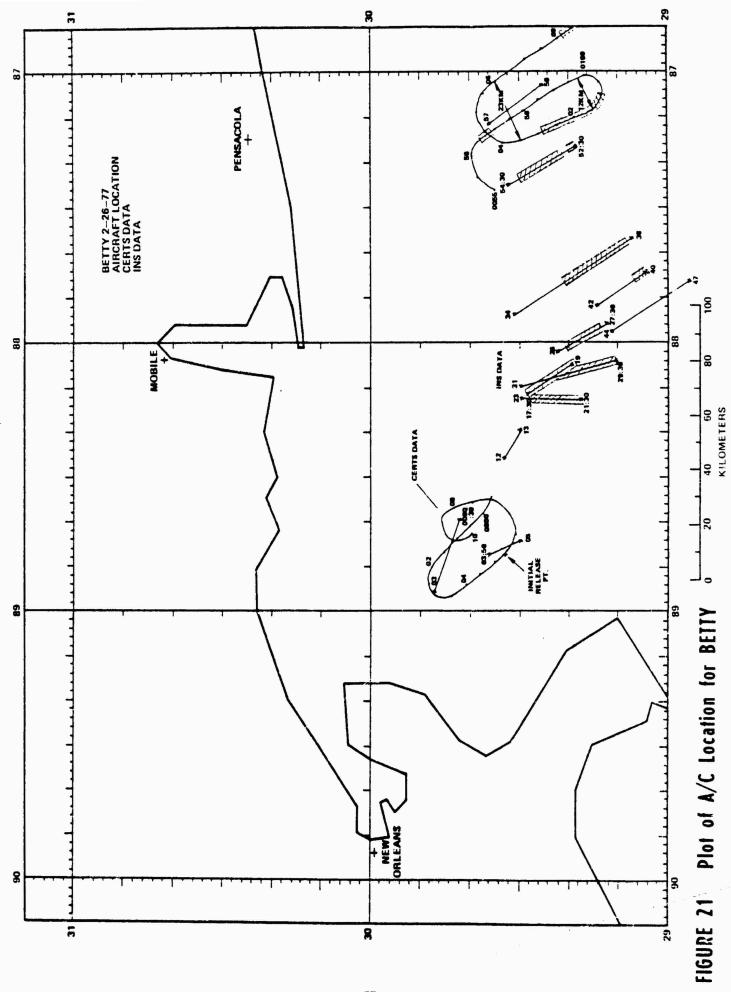
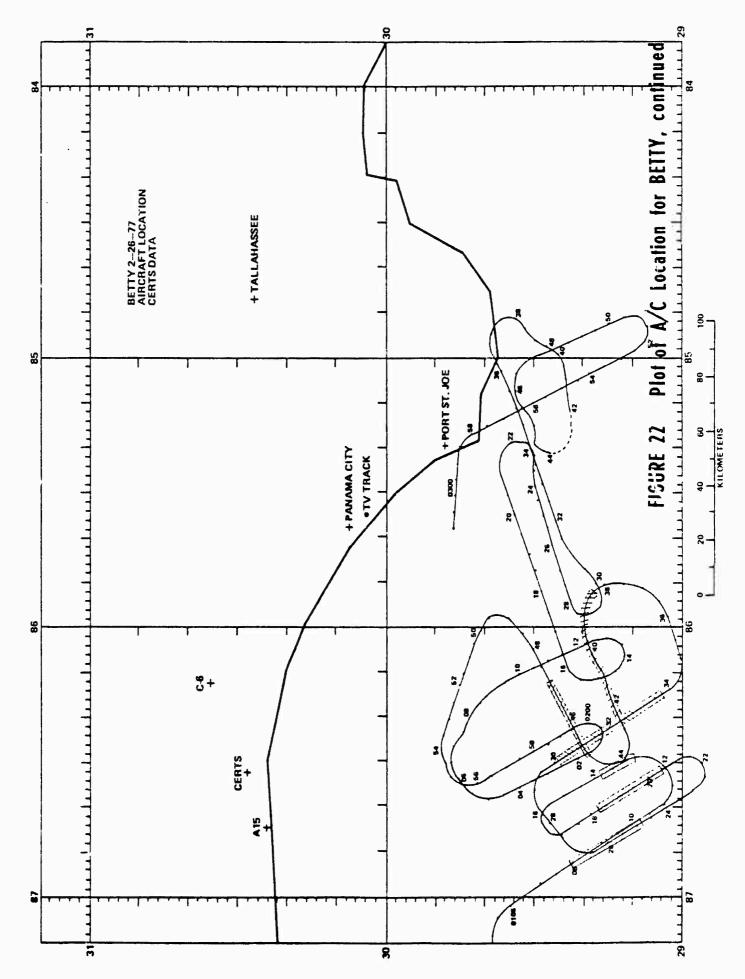
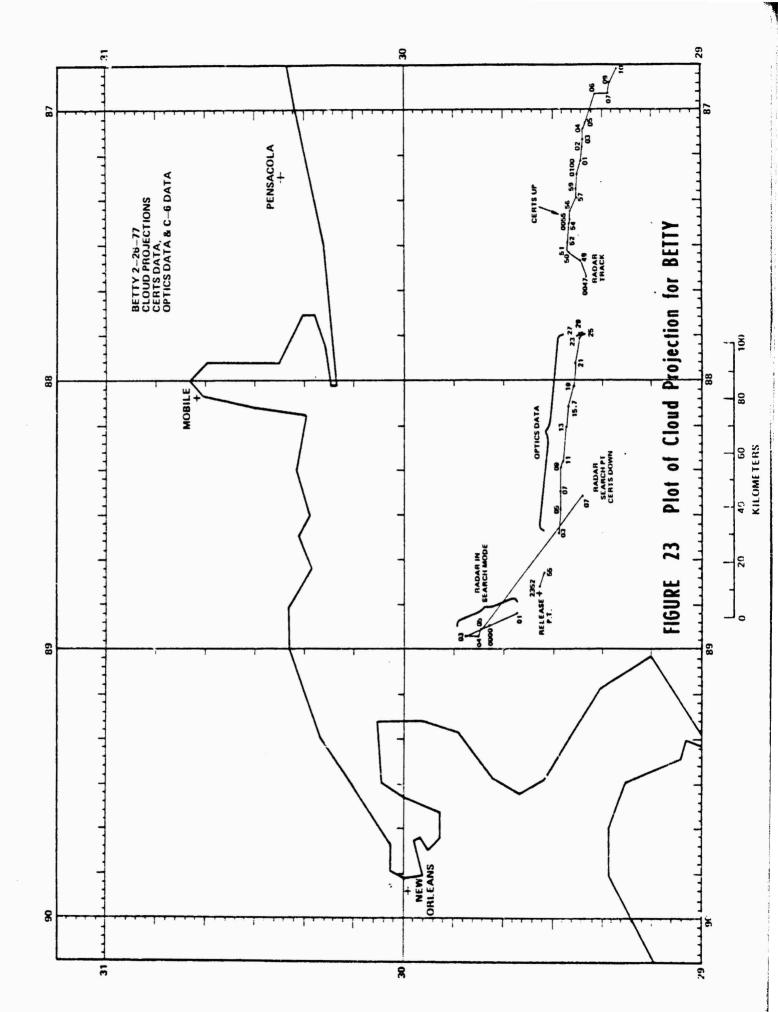
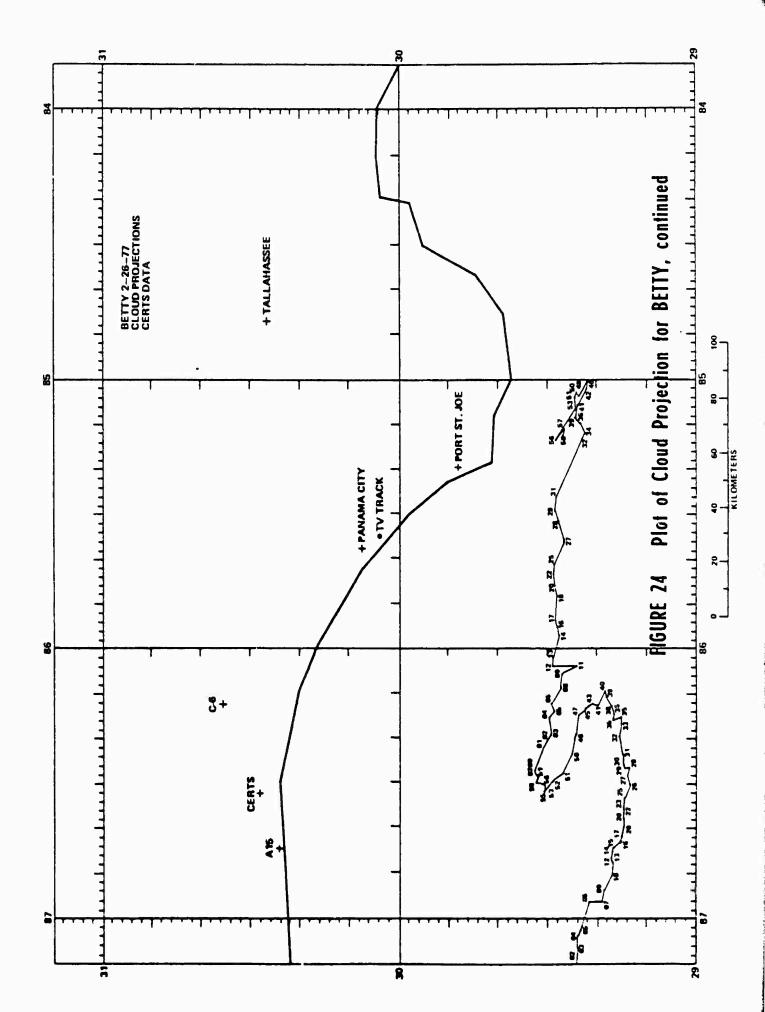


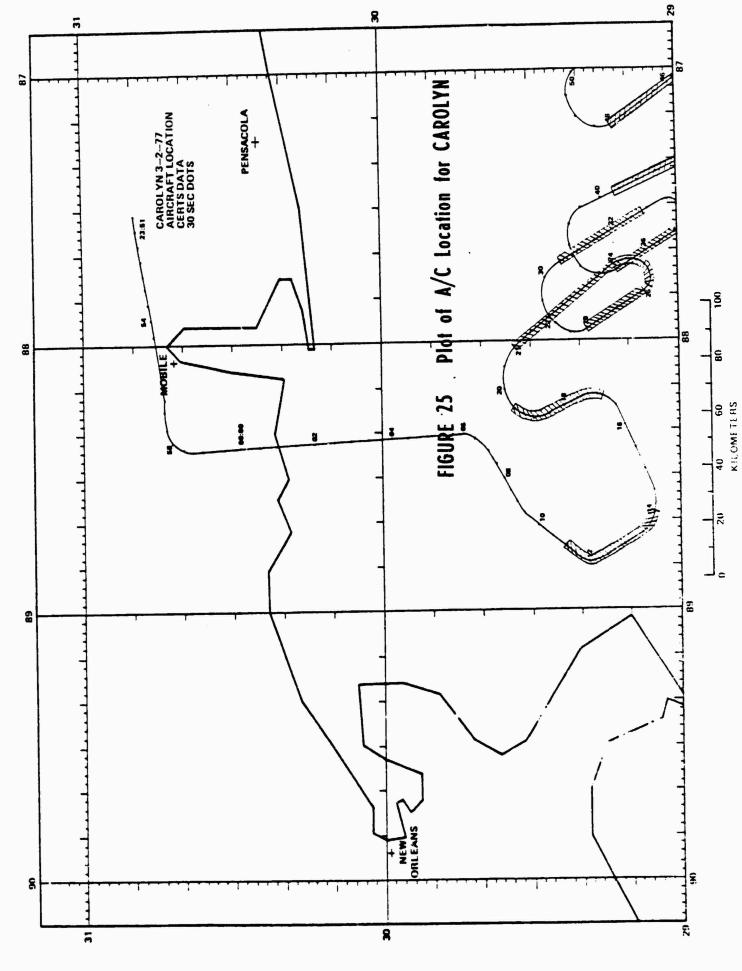
FIGURE 20 Phase Shift of Uplink Tone Due to Release Thermal lonization

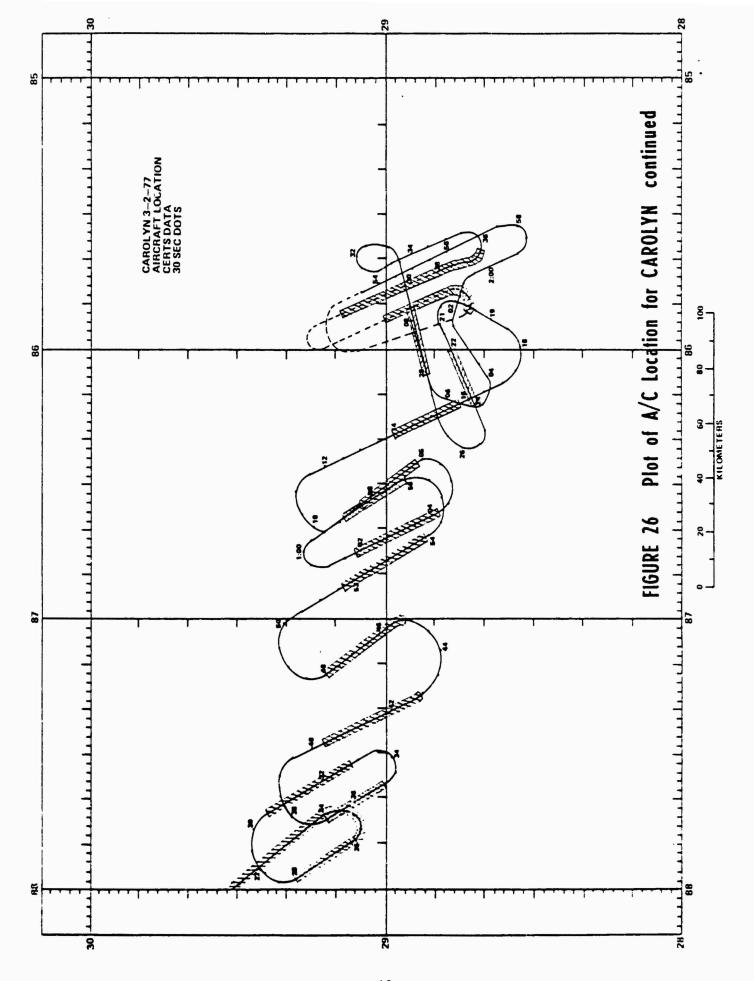


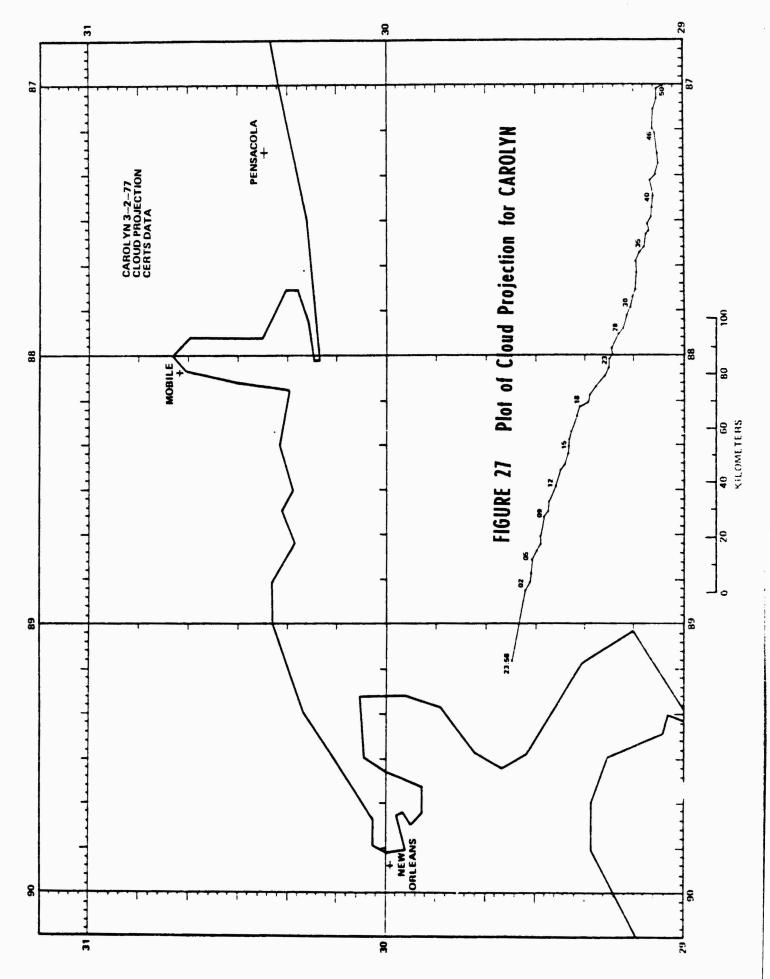


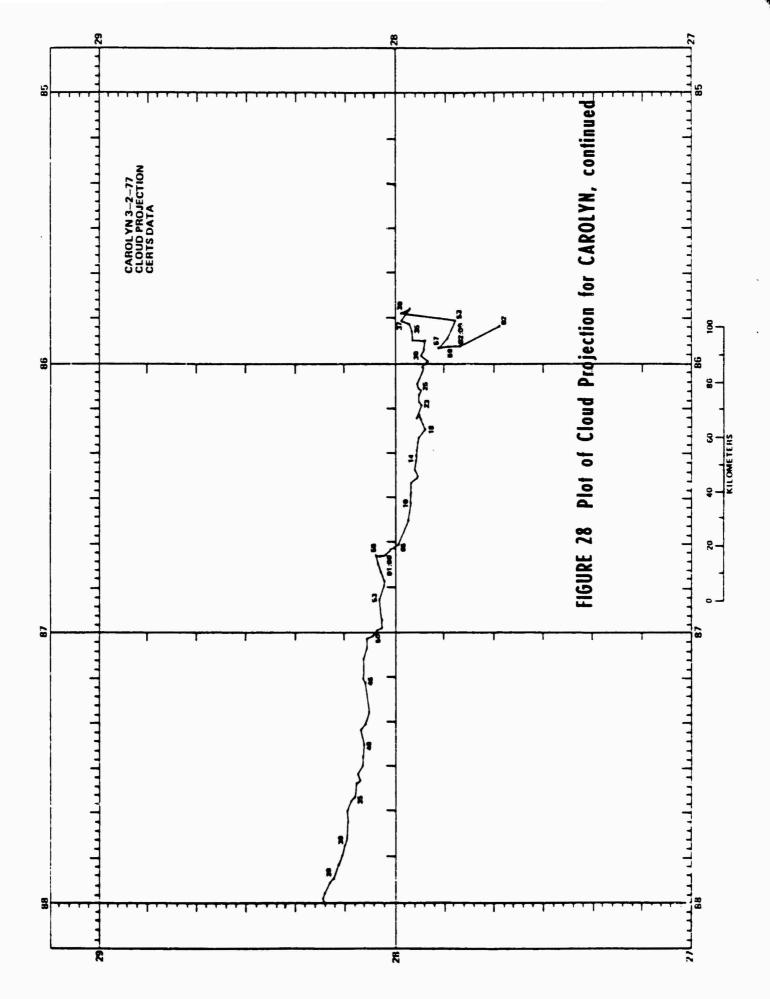


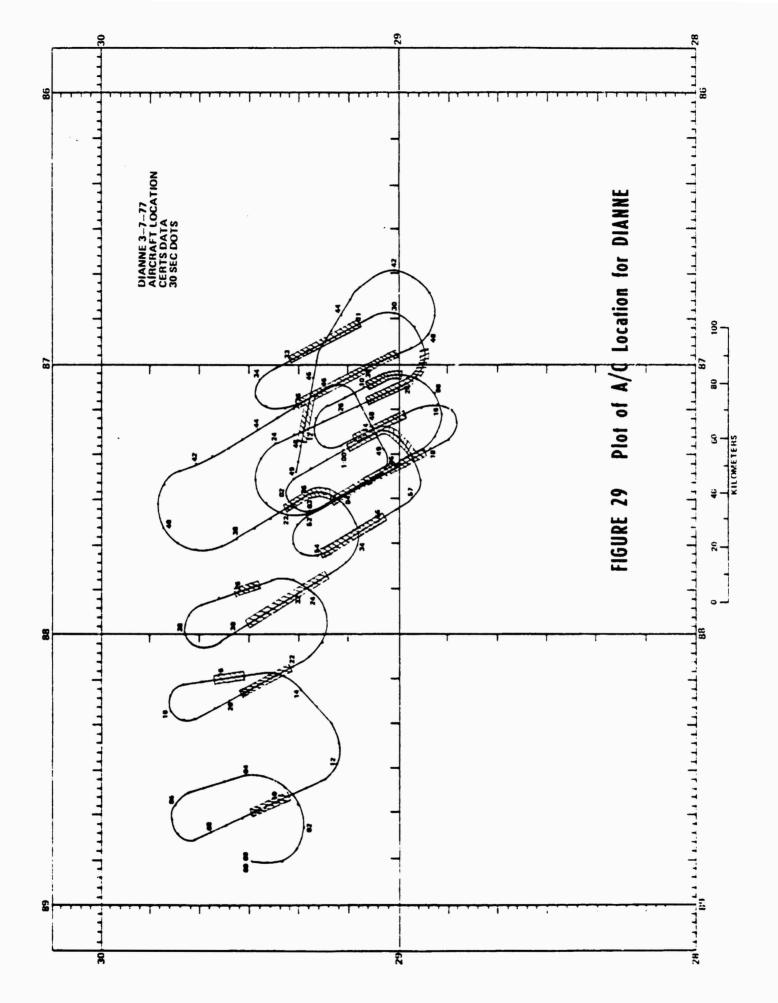


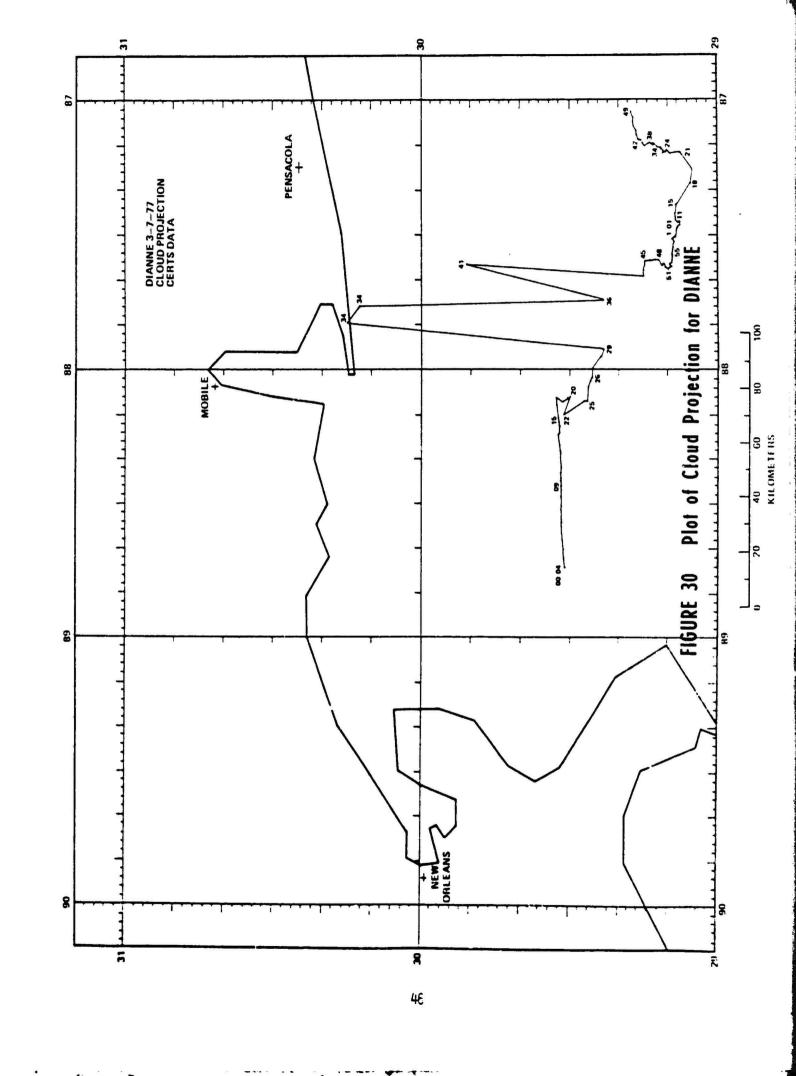


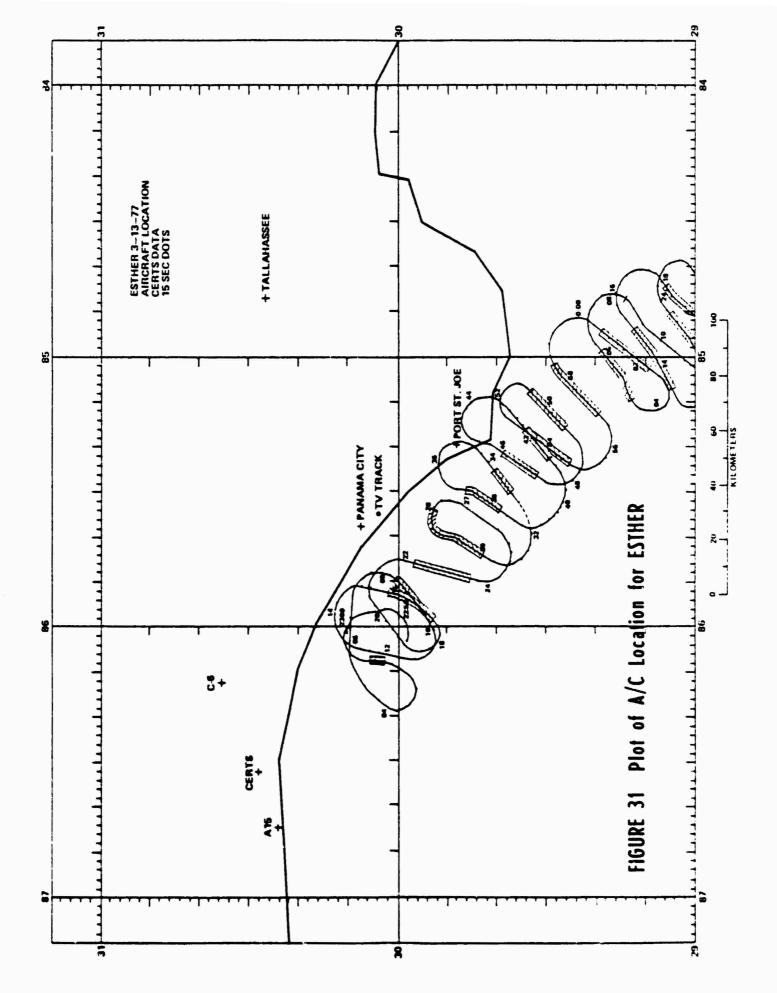


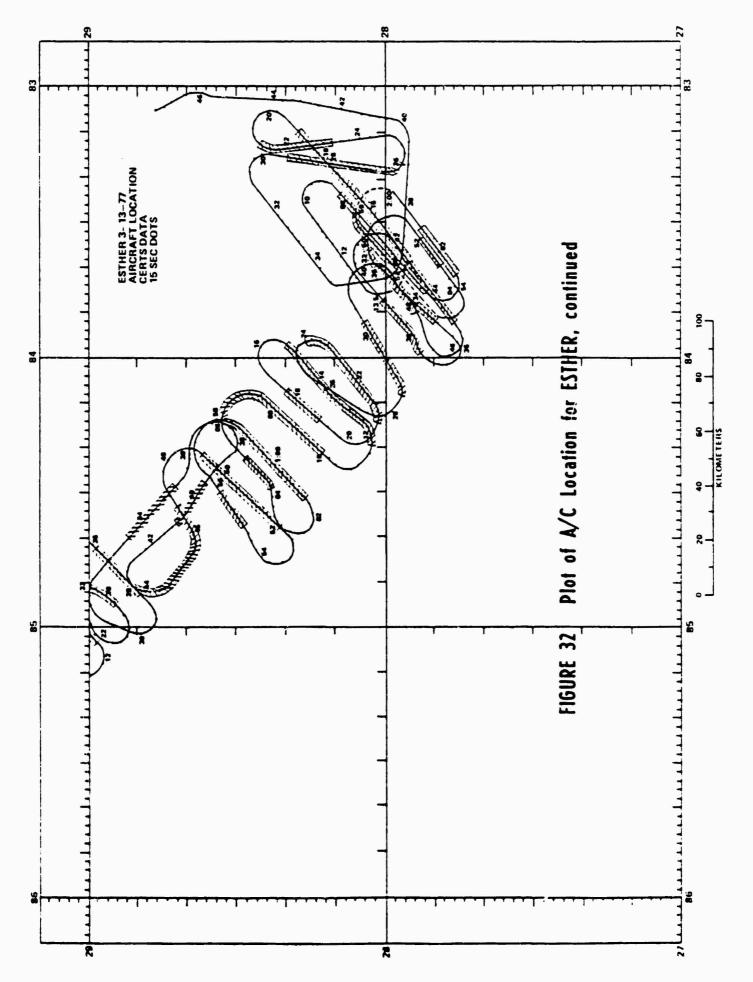


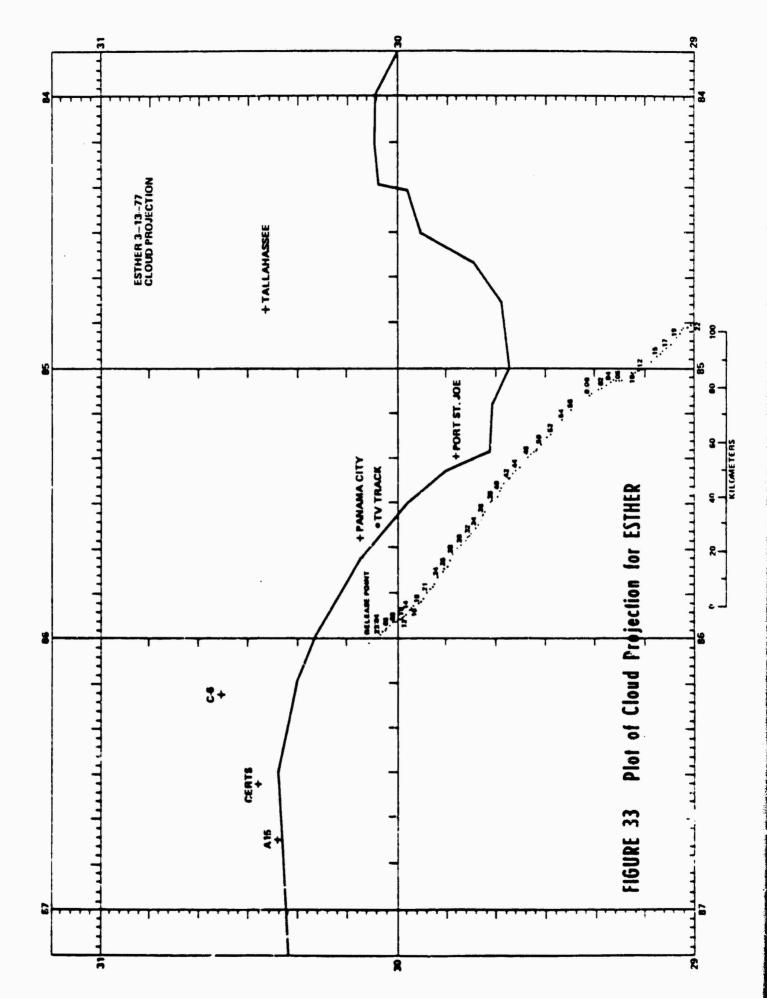


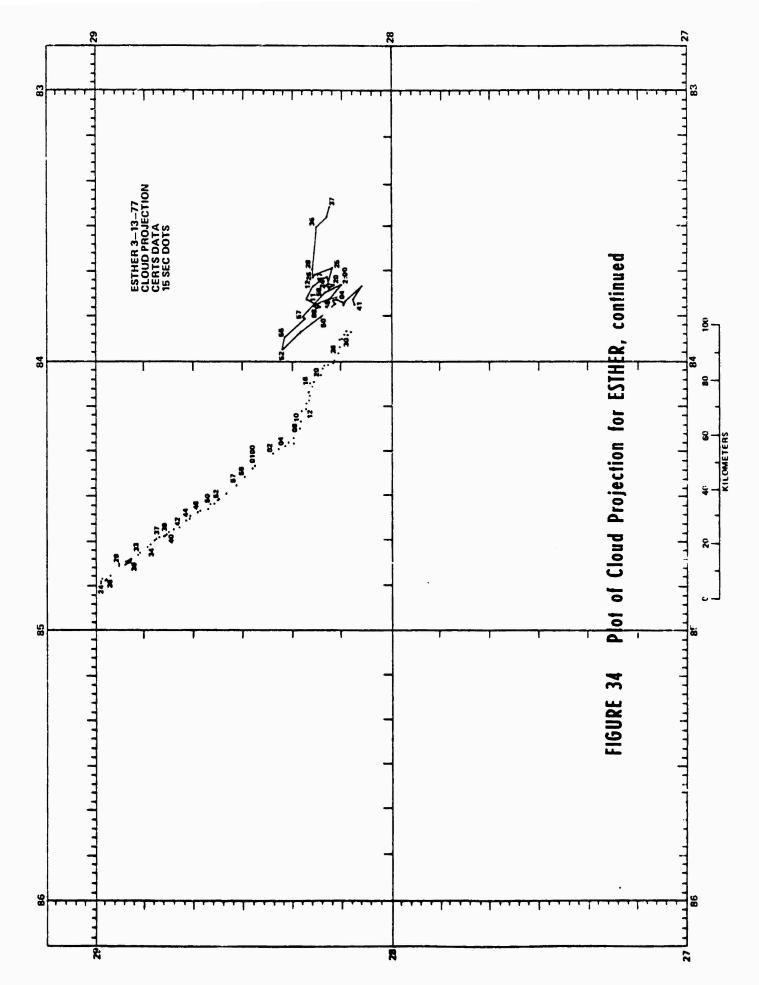


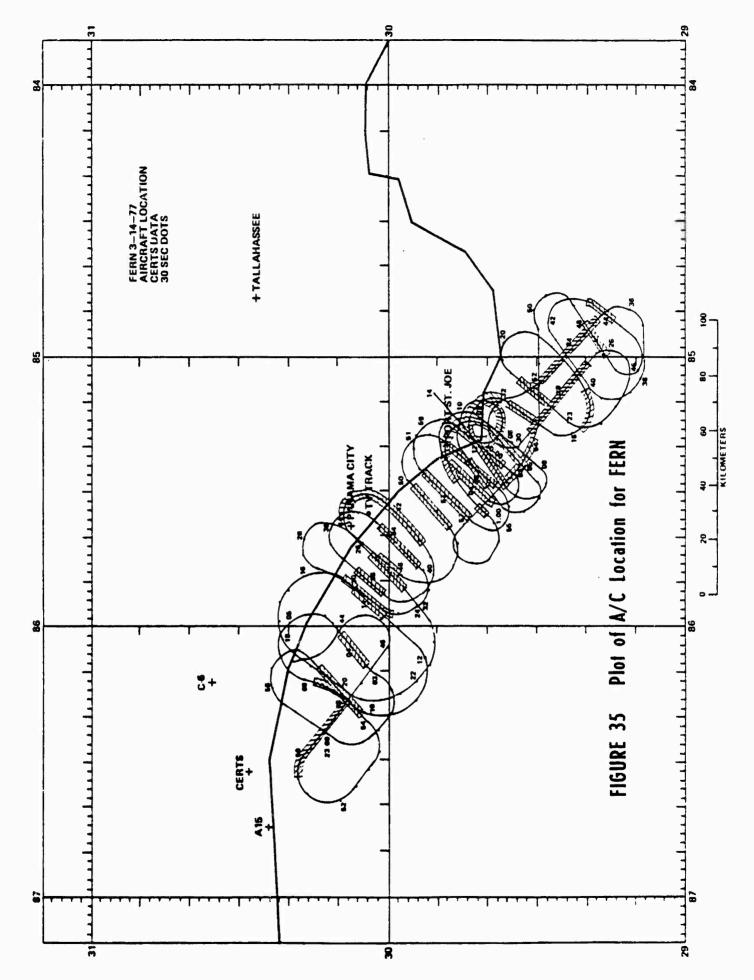


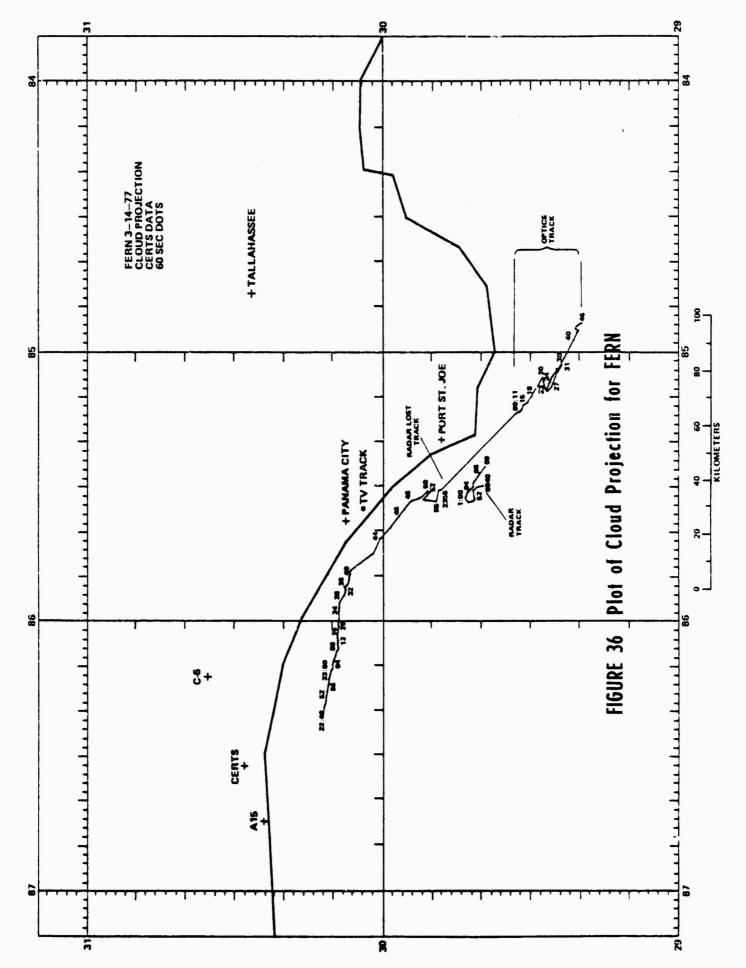












VI. TEST RESULTS

A summary of the results for each pass of each test is contained in Tables 3 through 7. The fading is characterized according to early-time like, Rayleigh-like, or Rician-like, and parallel pass fading. Some characteristic examples are taken from aircraft strip chart data on downlink fading from FERN and from semi-processed rooftop uplink phase and amplitude fading from FERN and ESTHER. System effects are also discussed.

A. Early-Time Fading: The downlink received signal strength for Pass 1 FERN, Figure 37, shows a classical diffraction pattern for a single, spherical or cylindrical cloud. The UHF signal level had a sharp rise of approximately 10 db as the aircraft reached the edge of the cloud shadow and then fell rapidly with a very broad fade lasting 80 seconds. As the aircraft flew out from under the shadow, the characteristic signal enhancement occurred and the signal returned to the unfaded signal level. A similar result was observed during the next pass, Pass 2 FERN, with a ringing, or multipath, occurring at the end of the pass, Figure 38. Pass 4 also shows the characteristic decrease of signal with a broad multipath-like ringing occurring at the end of the pass, Figure 39. The ringing is caused by interference between the rays passing outside of or near the edge of the ion cloud with rays diffracted outward from the portions of the cloud with steepest gradients of ray path integrated electron contents. The ion cloud evolution of its "hard edge" is reflected in the results of FERN, Passes 2 and 4, by the fact that this multipath-like effect occurs predominantly on one side of the cloud, presumably, the edge where the gradient steepening is occurring. Similar results are seen in Figures 40 through 43, showing uplink amplitude and phase for Pass 1 of FERN and Pass 2 of ESTHER. These plots represent unfiltered data and the hashy appearance is due to a combination of thermal and digitization noise. The

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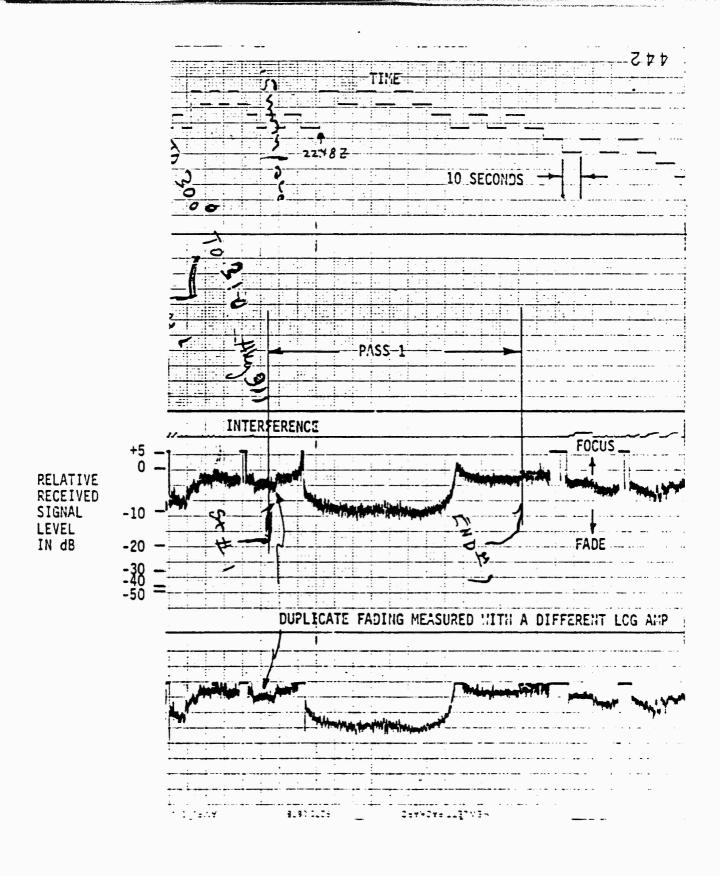


FIGURE 37 Downlink Fading, FERN, Pass 1

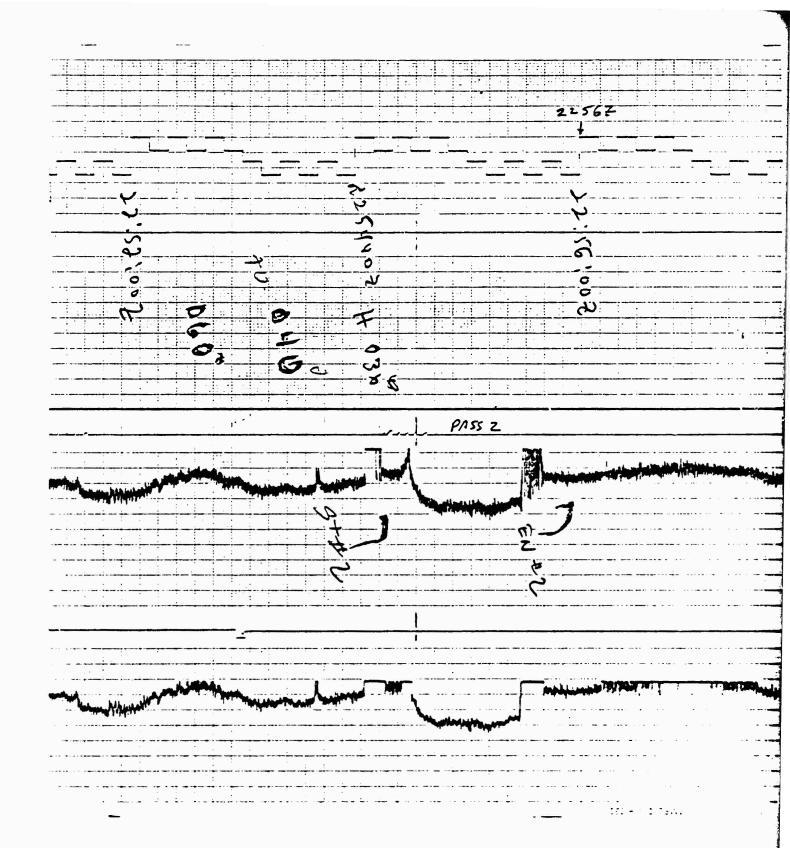


FIGURE 38 Downlink Fading, FERN, Pass 2

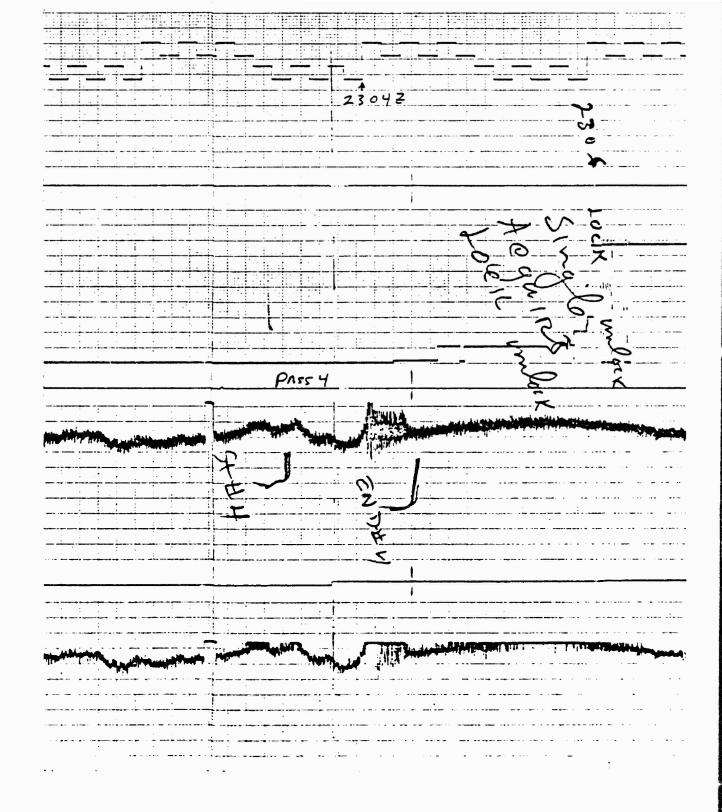


FIGURE 39 Downlink Fading, FERN, Pass 4

17 02 37

04/21/77

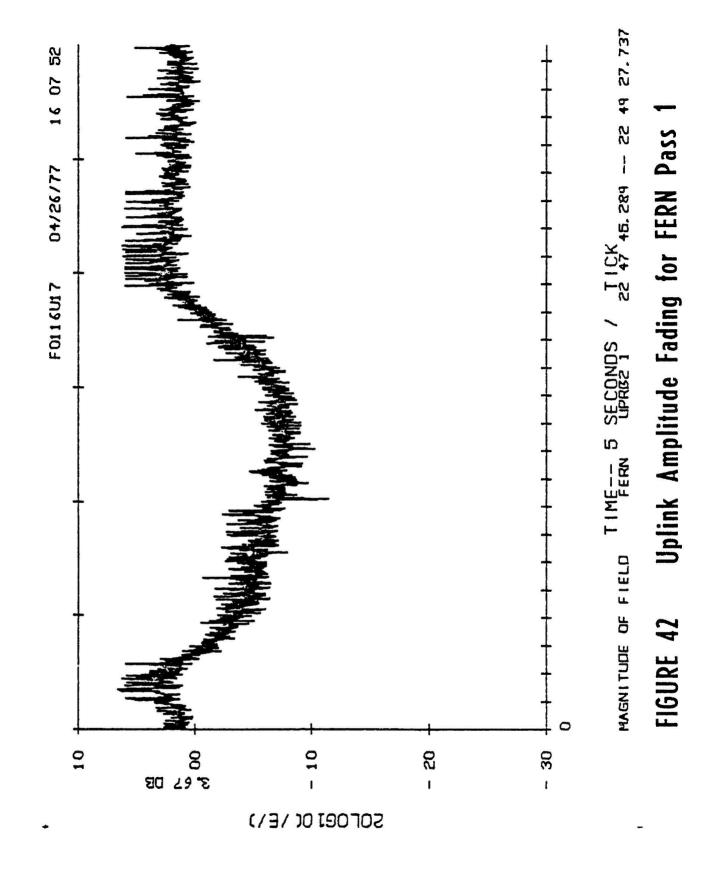
E0110U17

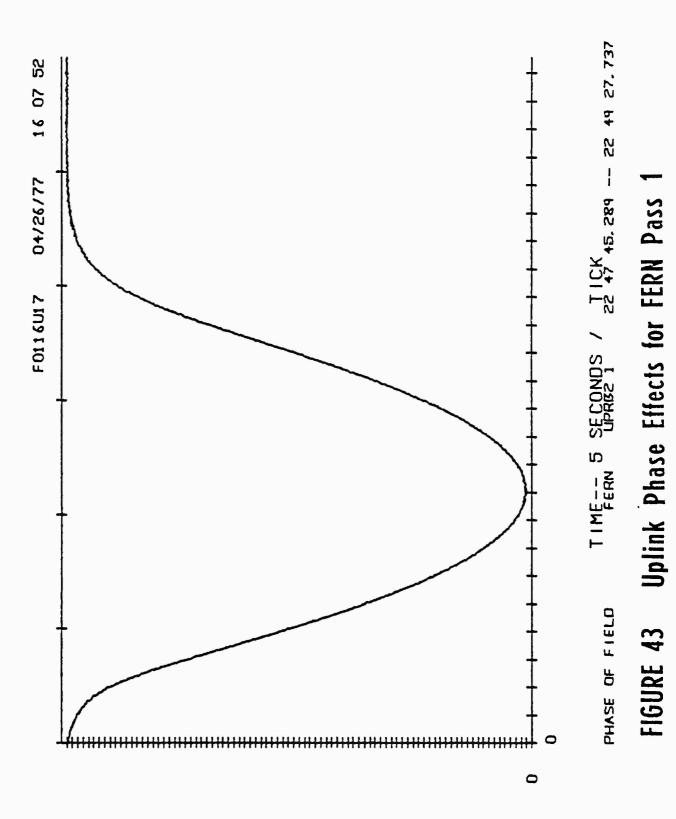
FIGURE 40 Uplink, 339 MHz, Amplitude Fading for ESTHER Pass 2

SOLO61 0(/E/)

FIGURE 41 Uplink Phase Effects for ESTHER Pass 2

PHASE - TWO PI RADIANS PER TICK





PHASE - TWO PI RADIANS PER TICK

classical diffraction pattern is unmistakable, however, and easily correlated with the barium induced phase. In the early time runs these phases strongly resembled Gaussian curves (barium phase effects increasing with decreasing values on the plot producing inverted Gaussians) with the phase shifts due to integrated content effects as high as 96 cycles.

Pass 31 of FERN also behaved like an early-time pass which is unusual at this late period in the cloud evolution, Figure 44. Comparison of Pass 31, Figure 44, to the theoretical drawing in Figure 45 shows the theoretical curve to be reproduced very faithfully by the barium cloud structure.

- B. <u>Rayleigh-Like Fading</u>: As the cloud developed into a series of individual irregularities, rapid and deep fading was produced often with a ringing type multipath caused by edge diffraction effects at the beginning or end of the pass as seen in FERN, Pass 8, Figure 46. The downlink received signal level during Pass 9 of FERN showed a broad decrease at the initial part of the pass with rapid Rayleigh-like fading toward the end, Figure 47. Figure 48 shows similar results during FERN, Pass 10. Figure 49 shows two additional examples of Rayleigh-like downlink fading lasting a little over 60 seconds each. Excellent examples of Rayleigh-like fading are seen in the uplink ESTHER, Pass 8, data, Figure 50. The diffraction edge in this pass is obvious on the left at the start of the pass. The phase shown in Figure 51 indicates vestiges of the smooth Gaussian early-time behavior. It is, however, corrugated both by changes in path integrated electron content and by diffraction effects which can produced phase jumps associated with deep fades.
- C. <u>Parallel Pass Fading</u>: Typically the aircraft flew cross-striation passes, but occasionally a maneuver was flown parallel to the projection of the striations. The fading on these passes differed from that observed

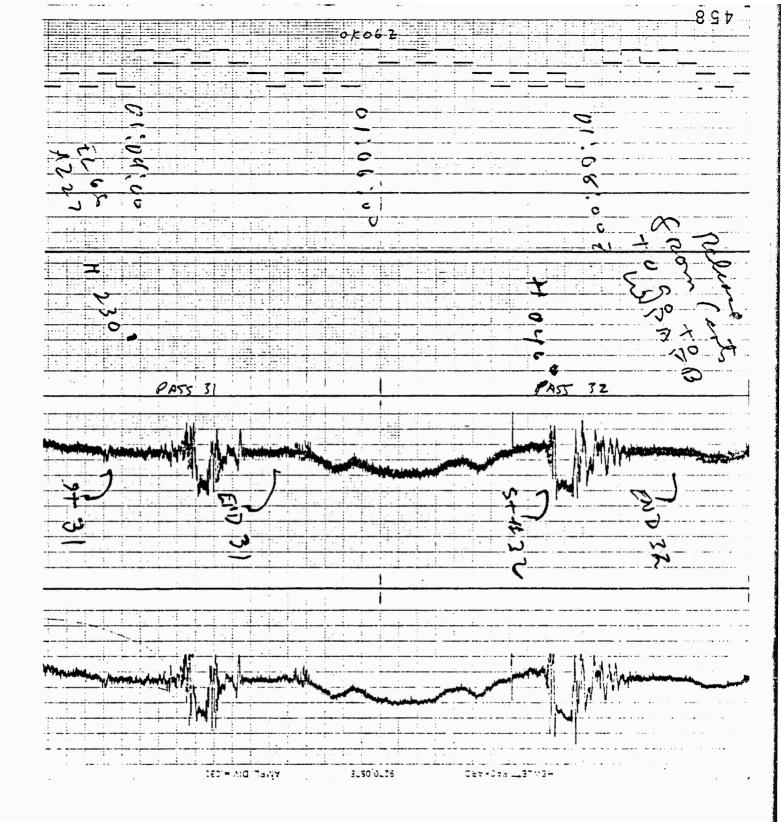
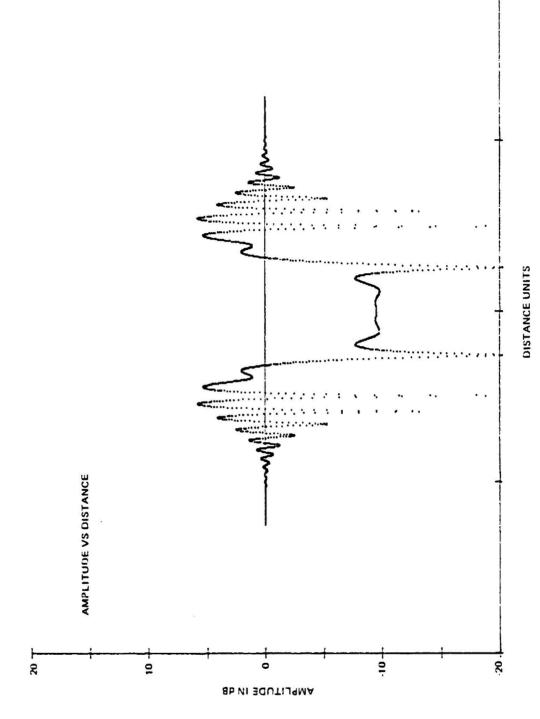


FIGURE 44 Early Time Fading for FERN Pass 31



Amplitude in dB Versus Distance (2 km per tic) at the Screen (solid curve) and at the Ground 150 km away (dotted curve) for 300 MHz Propagation Through a 300m Radius Striation with 107 Electrons Per cc Peak Density. (U)

FIGURE 45 Theoretical Fading Through Single Striation

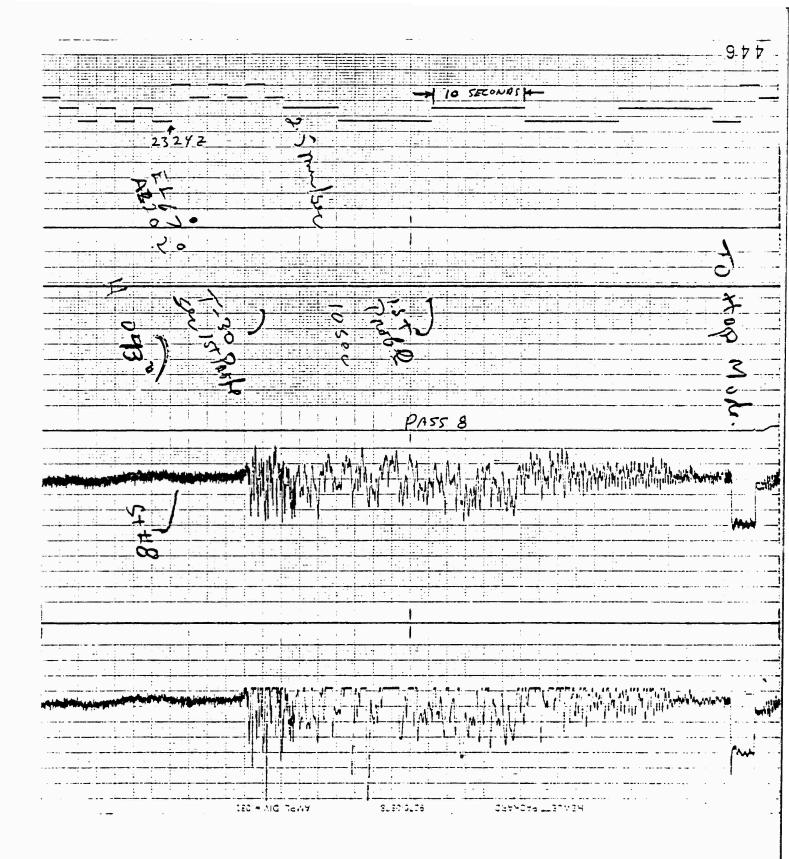


FIGURE 46 Rayleigh Like Downlink Fading on FERN Pass 8

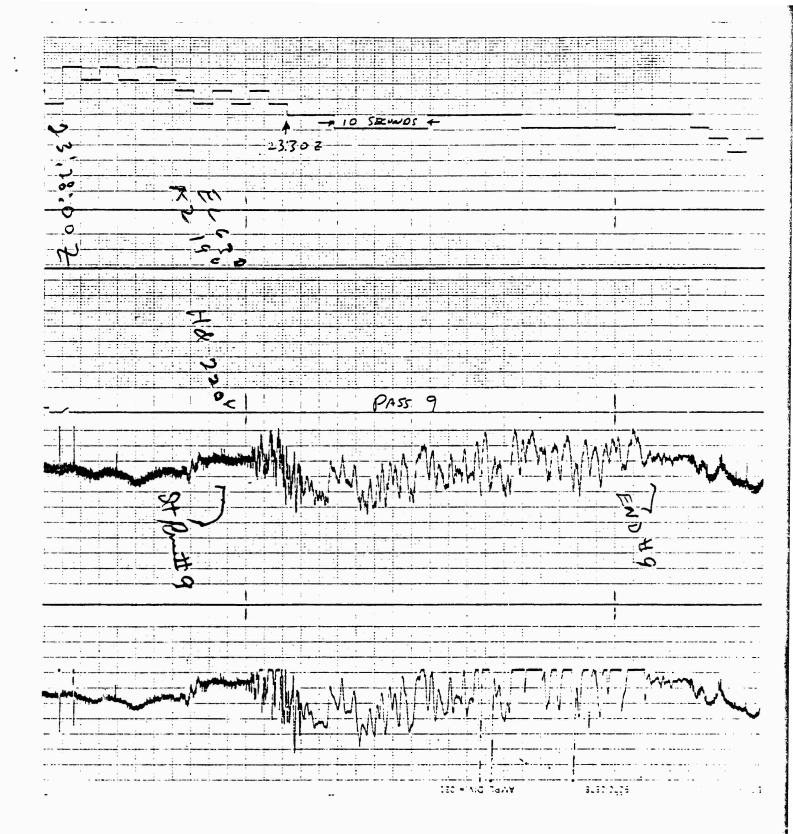


FIGURE 47 Rayleigh Like Downlink Fading on FERN Pass 9

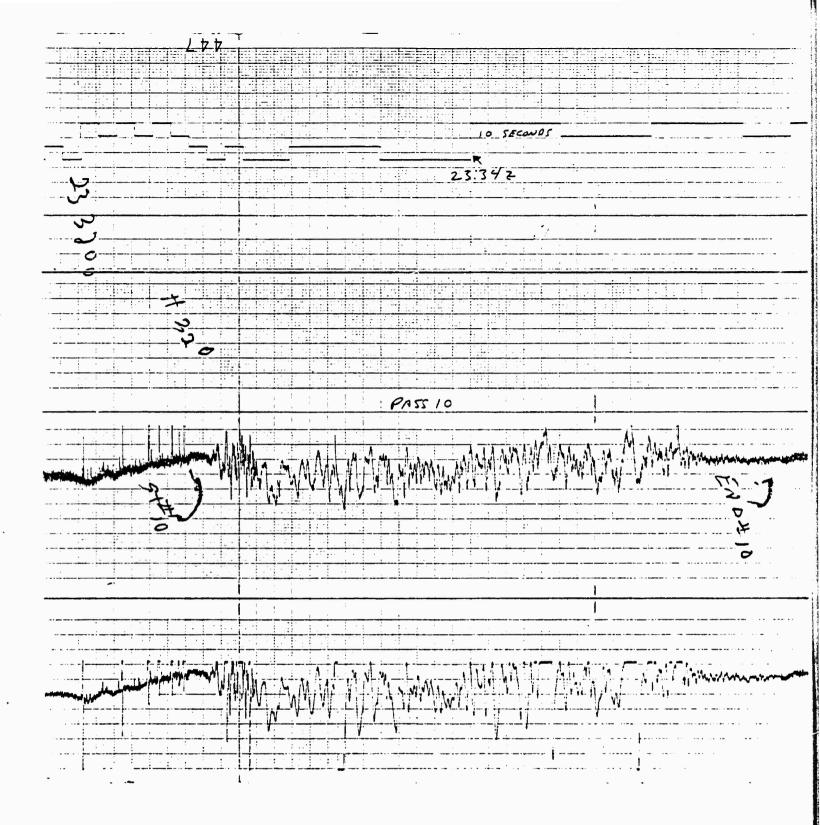


FIGURE 48 Rayleigh Like Downlink Fading on FERN Pass 10

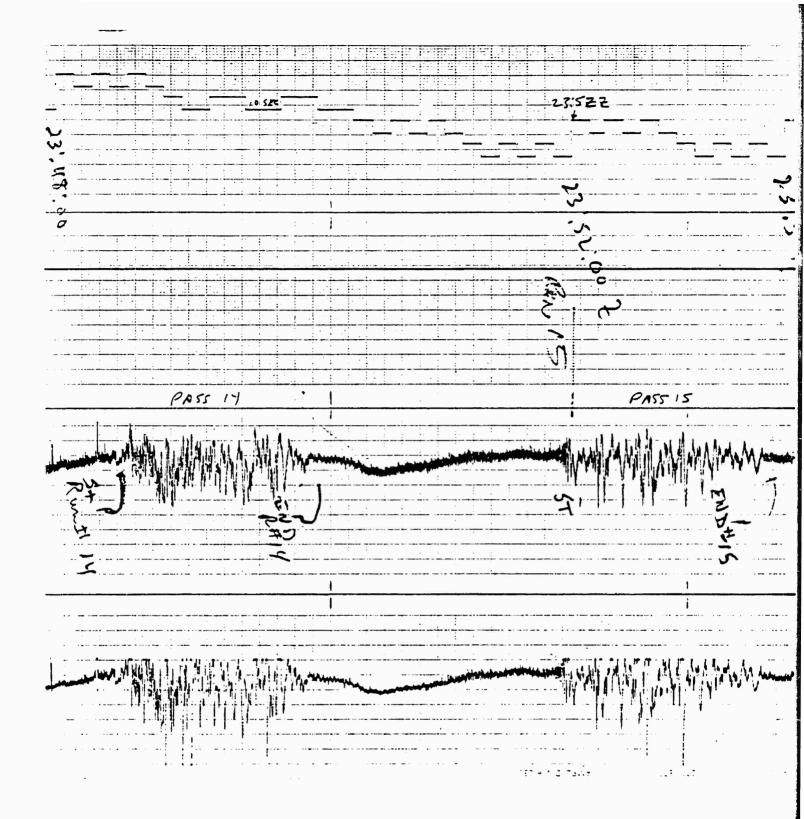


FIGURE 49 Rayleigh Like Downlink Fading on FERN Pass 14

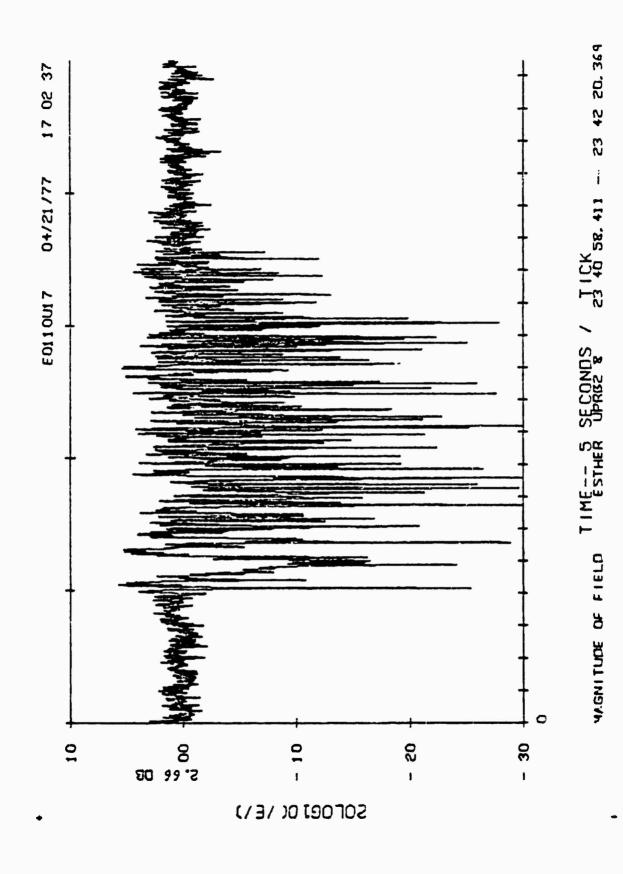


FIGURE 50 Rayleigh Like Uplink Fading on ESTHER Pass 8

75

Uplink Phase Effects on ESTHER Pass 8

- TWO PI RADIANS PER TICK PHASE

during the cross-striation patterns in that it was much slower. Figures 52 and 53 illustrate the uplink and downlink fading observed respectively during Pass 20 of ESTHER, which was a parallel pass. Figure 54 illustrates the downlink fading observed during a similar pass, Pass 20 of BETTY.

- D. <u>Rician-Like Fading</u>: A weaker form of amplitude fading categorized as Rician-like was observed often. Figure 55 shows an example of this type of fading occurring on the uplink during Pass 14 of DIANNE. The fading is noticeably less intense than the Rayleigh fading seen in Figures 46 to 50. Weaker fading such as this is observed more often later in the cloud development and may be attributable to weaker striations and/or poorer cloud tracking. The phase observed on this DIANNE pass, Figure 56, indicates a less intense cloud than seen earlier in the release.
- E. <u>Frequency Decorrelation -- Test 3 & Downlink Hop</u>: Comparison of the uplink and downlink tone indicates considerable decorrelation of the fading due to the frequency difference of 90 MHz. Examples of the test configuration #3 uplink and downlink fading for ESTHER, Pass 18, are shown in Figures 57 and 58. Many of the gross features are duplicated in the plots. However, the actual fading generally appears decorrelated. A cross correlation of the received powers on the uplink and downlink produced the plot in Figure 59. A peak of .16 rises significantly out of the noise (with typical peaks of .08) with a relative delay of .9 seconds, versus a completely correlated value of about 1. Degradable by noise on either link, the .16 value reflects considerable but not complete decorrelation.

The downlink UHF hopping signal received on the aircraft was recorded on magnetic tape for further analysis of the spectral decorrelation across the hopping band. By processing the received amplitude with the gross frequency

Parallel Pass Uplink Fading on ESTHER Pass 20

78

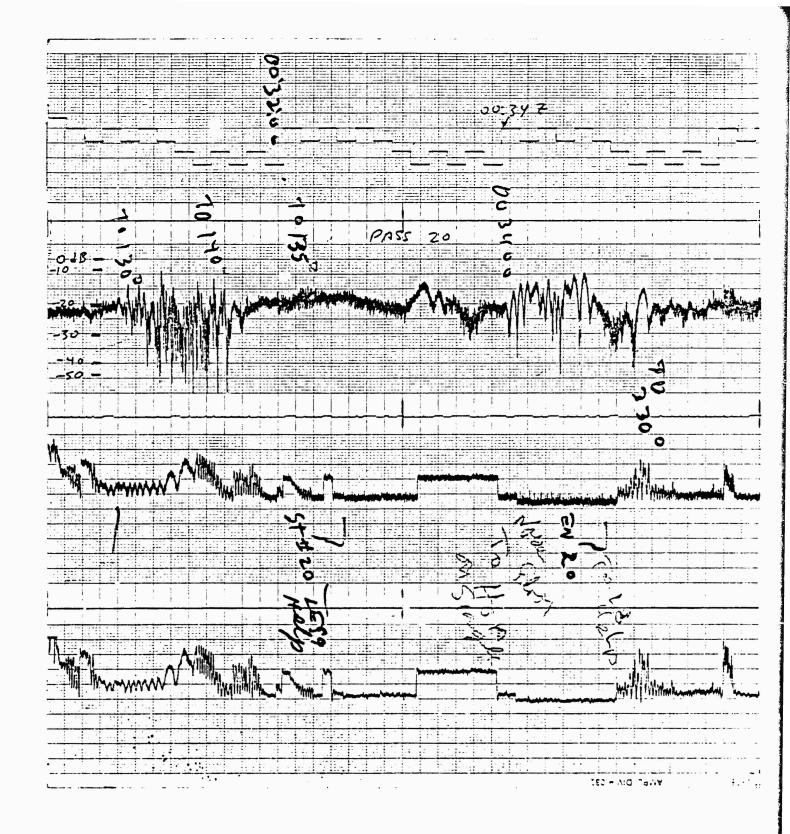


FIGURE 53 Parellel Downlink Fading on ESTHER Pass 20

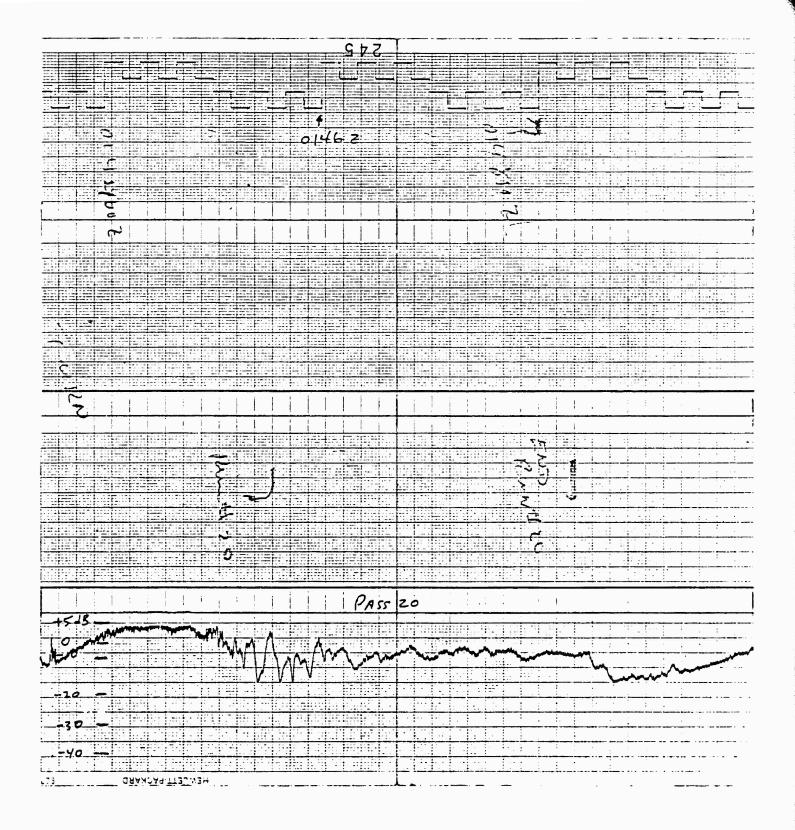
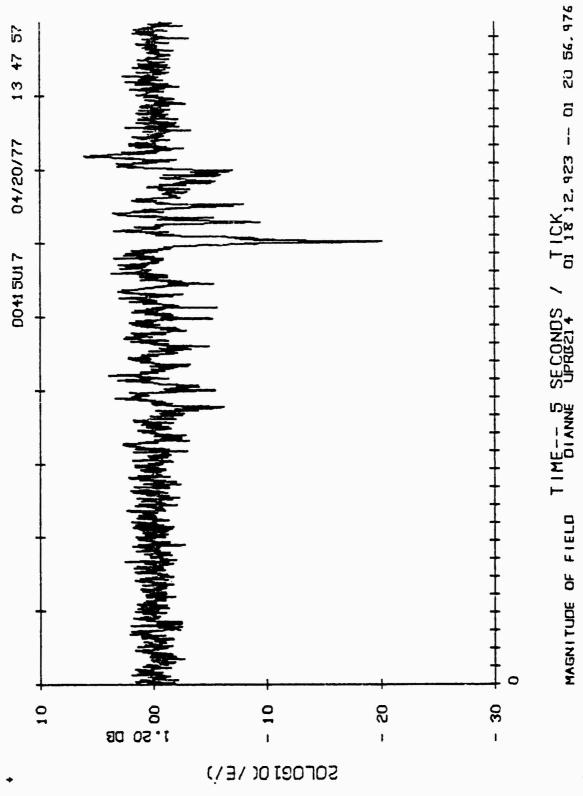


FIGURE 54 Parallel Pass Downlink Fading on BETTY Pass 20



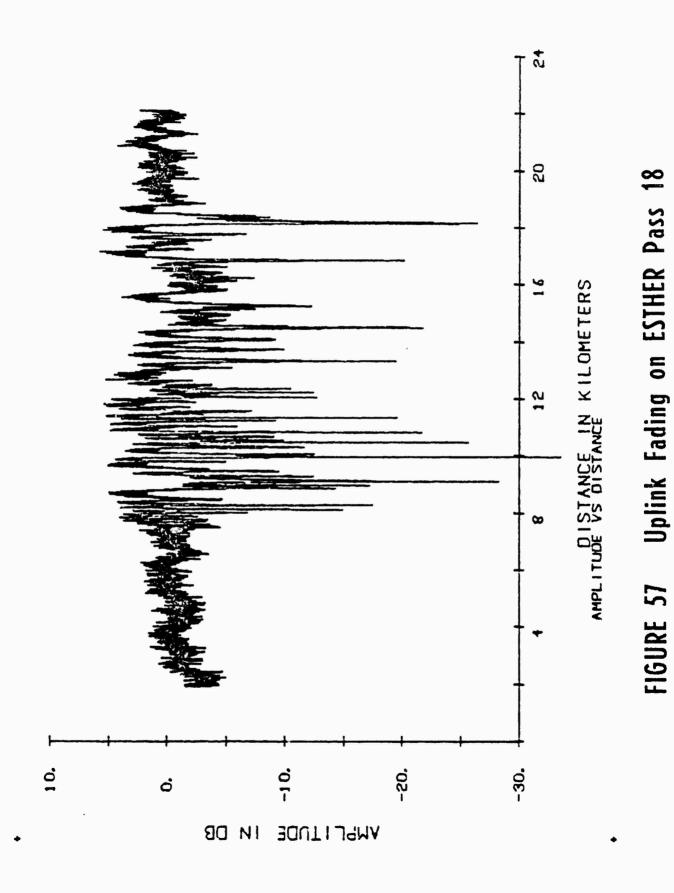
Rician Like Uplink Fading on DIANNE Pass

U 102

FIGURE 56 Uplink Phase Effects on DIANNE Pass 4

PHASE

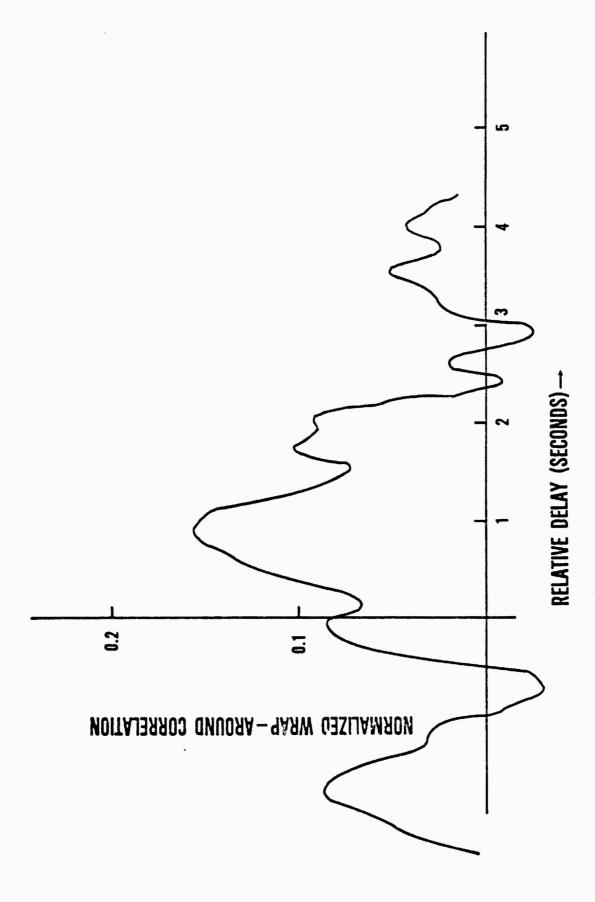
TWO PI RADIANS PER TICK



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Downlink Fading or ESTHER Pass 18

94



command the decorrelation across the hopping band can be assessed. Visual inspection of this data indicates a potential decorrelation across the band during the early-time passes in ESTHER for fading corresponding to the multipath-like ringing.

F. <u>Systems Effects</u>: The teletype copy received on the UHF down forward link was protected against moderate fading by powerful error-correction coding techniques employing a half-rate code with feedback decoding and full message interleaving. The teletype copy remains basically error free until a channel binary symbol error rate of between 5 and 10 per cent was reached. At that time the teletype copy either exhibited a few errors or did not print due to a print/no-print threshold which excludes all messages exhibiting more than a limited number of errors. The observed range in UHF signal level between the perfect copy and no message copy is rather narrow, on the order of 3 or 4 db for performance in white noise only. Figure 60 is an example of error free copy, while Figure 61 shows a limited number of character errors. Figure 62 shows a larger number of character errors, and Figure 63 is an example of a STRESS pass where most messages were not copied due to the high error rate.

Figure 64 shows a plot of the percentage of messages received correctly versus received signal strength (C)-to-thermal noise power density (N_0) [in inverse Hz] for all passes with significant fading in ESTHER. Also plotted is the system performance without fading that depicts the narrow range between print and no-print. In spite of the full message interleaving (which ideally would average out the received bit energies through fades and focuses) a loss of at least 5 db can be ascribed to the barium induced fading. This loss appears to be dependent upon the nature of the fading, the slower fading characteristic of a late-time barium cloud causing a greater loss.

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u Q+++++++++++++++KDND+662*011	0214:52
; 	0214:57
	MALE TANK
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 	8215:10
	0215:15
The same and a same as the same and the same	Table Visit Carlo
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.: - P++++++++++++++KBND+662+018	0215:24
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	0215:33
- (1	0215:37
	0215:48
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0	6215:50
0+++++++++++++KBND+662+025	.0215:55
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FIGURE 60 Error Free Teletype Copy

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::	6164:22
	0164:27
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/ ш.ш.==================================	6165:38

FIGURE 61 Taletype Copy with Limited Errors

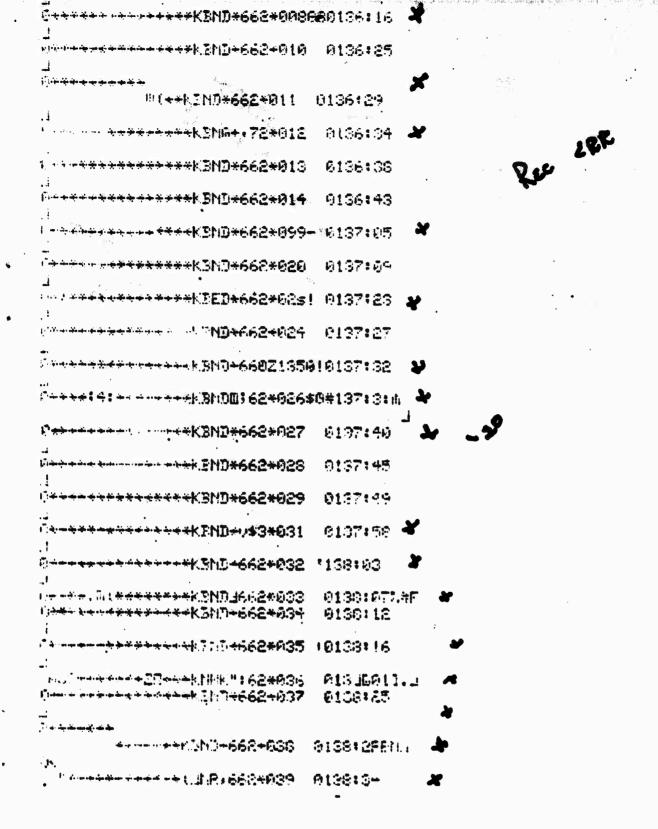


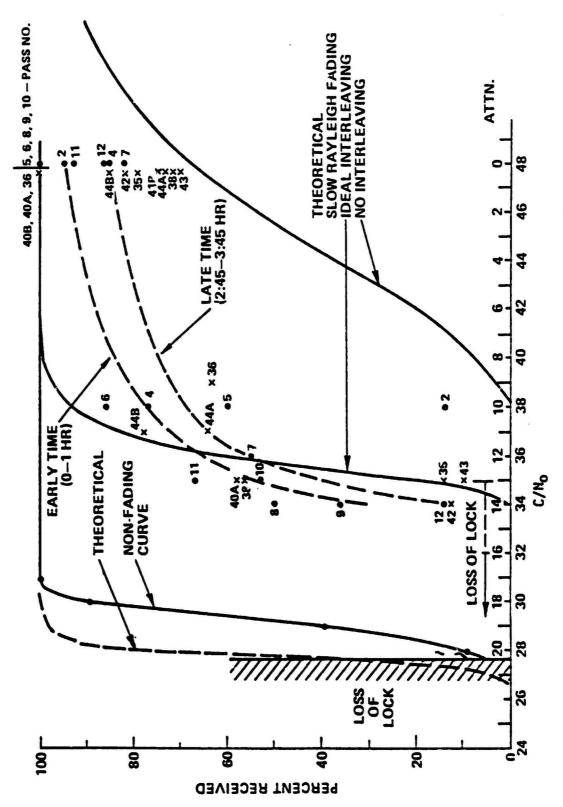
FIGURE 62 Teletype Copy with Moderate Errors

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FIGURE 63 Teletype Copy with Many Errors and Deleted Messages

REGULAR MODE ESTHER

PERCENT OF MESSAGES RECEIVED



Per Cent Messages Received vs. C/No for ESTHER FIGURE 64

- G. System Effects Frequency Decorrelation: A comparison was made on the UHF down forward link between a help mode which is a fixed downlink frequency and a hopping mode which hops over a relatively narrow frequency bandwidth. On Pass 8 of the fifth test (FERN) the forward downlink was in the fixed frequency mode (help). Figure 65 shows the received signal level for Pass 8. Also shown on Figure 65 are the UHF link quality for each message and the message character error rate. Approximately 5 minutes after the Pass 8 data the satellite was put in the hopping mode, and data from Passes 9 and 10 can be compared with Pass 8. From Figures 66 and 67 it is obvious that the fading structure remained very similar to that encountered during the fixed frequency Pass 8. The UHF link quality showed no improvement which could be attributed to the frequency diversity of the frequency hopping mode. Likewise, the character error rate per message was no better than that for Pass 8. Table 8 shows a comparison of the average link quality over the 60 seconds of fading for each pass. These results show no improvement for the frequency diversity effect of the frequency hopping on Passes 9 and 10. If the propagation medium exhibited frequency decorrelation, some performance improvement would be expected in the hop mode. Lack of an obvious improvement indicates that the FERN propagation environment traversed was not strong enough to produce significant frequency decorrelation across the downlink hop band.
- H. <u>Multipath Test Results</u>: Good quality multipath data was taken during the ESTHER and FERN test flights using LES 9 while the barium fading measurements were being simultaneously performed using LES 8. During ESTHER the aircraft transmitted a PRN sequence from either one of two antennas, the crossed slot and the bottom blade, while during the FERN flight test

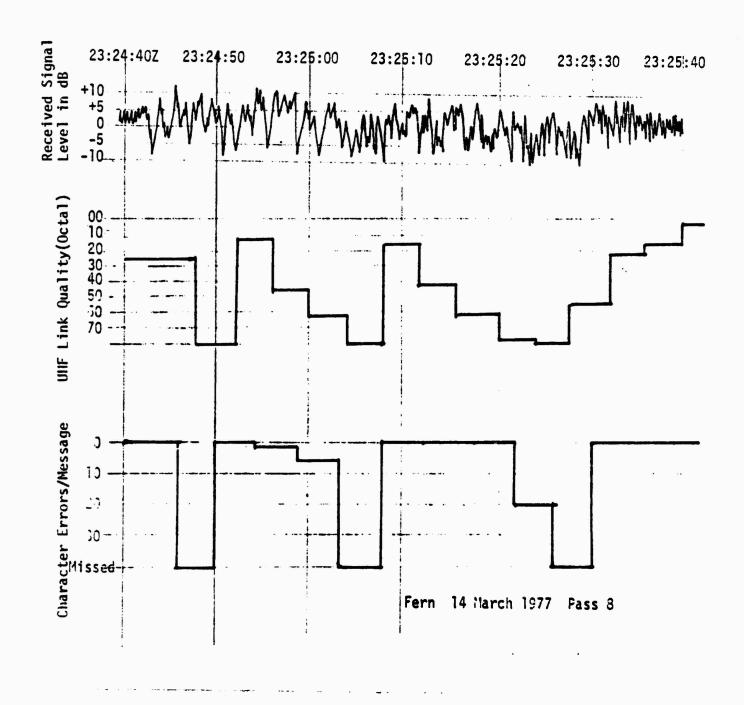


FIGURE 65
Comparison of Signal Level, Link Quality and Character Errors

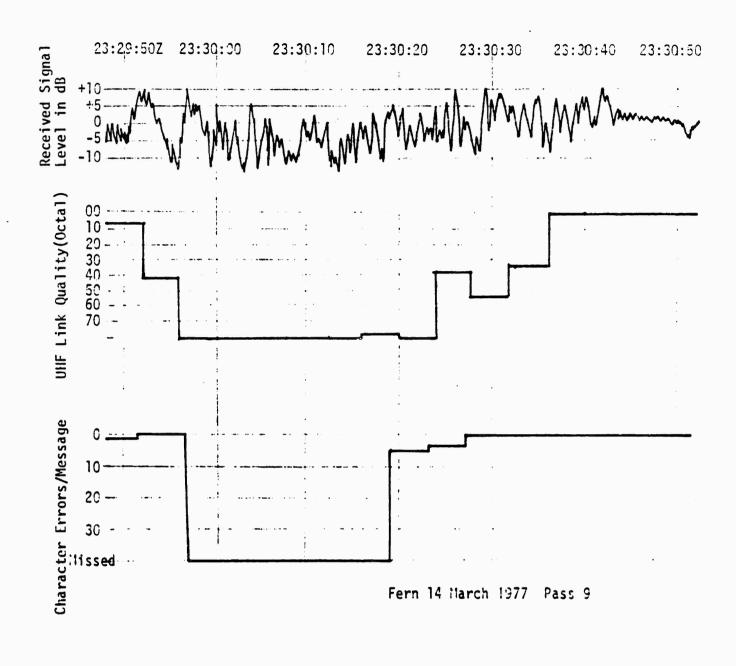


FIGURE 66
Comparison of Signal Level Link Quality and Character Errors

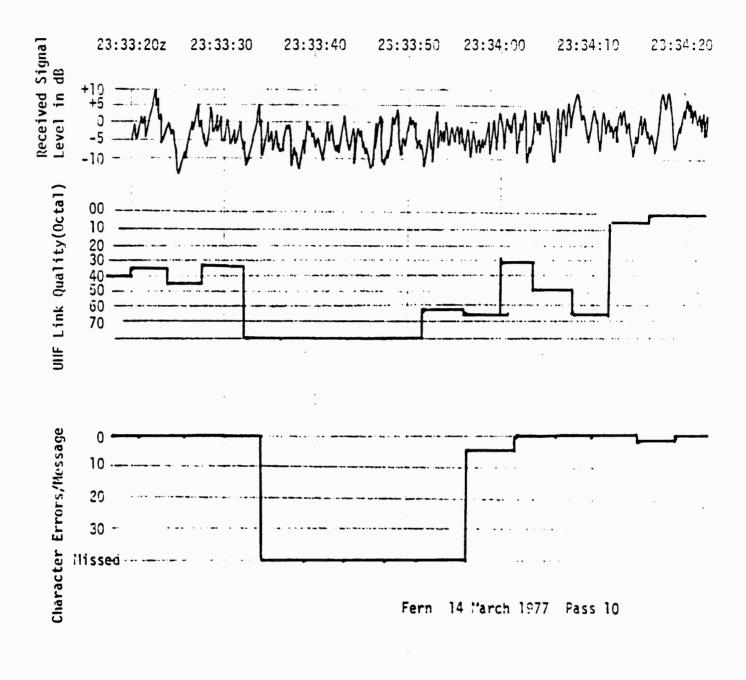


FIGURE 67
Comparison of Signal Level Link Quality and Character Errors

PASS #	MODE	Avg. UHF L.Q.
8	Help	47
9	Нор	54
10	Нор	54

TABLE 8: Comparison of UHF Link Quality For Different Modes

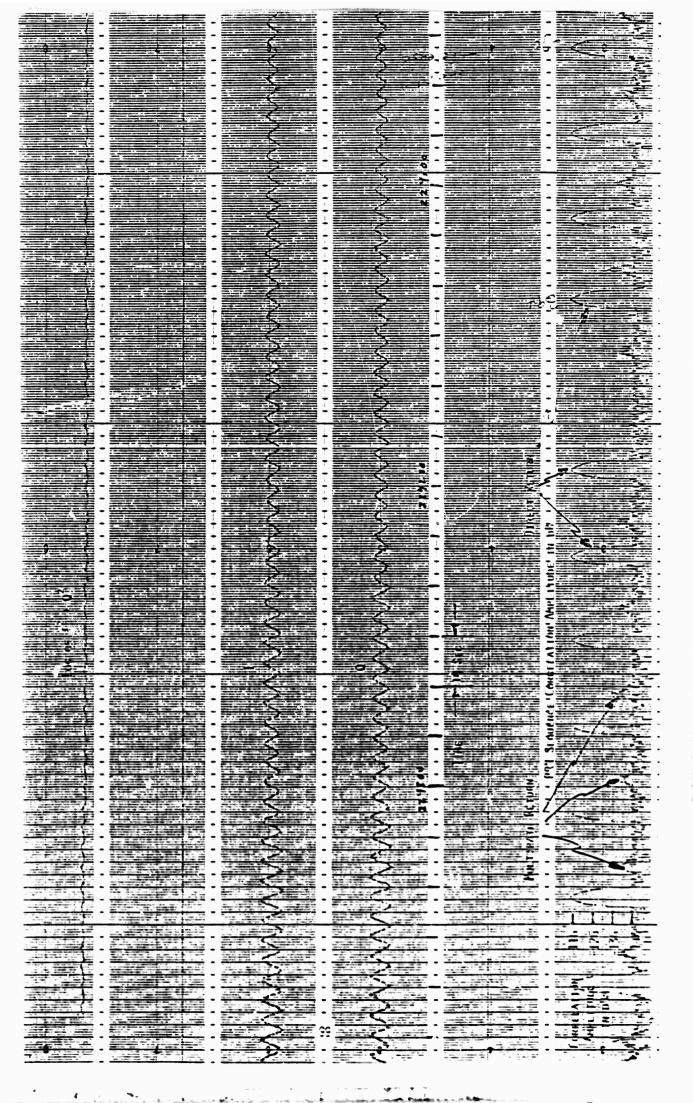
only the bottom blade was used. The PRN correlation receiver at the rooftop facility (RTF) received over 600 returns during the seven-hour ESTHER flight and over 220 returns during the five-hour FERN flight. The nature of the ESTHER returns is summarized in Table 9, which gives the antenna type used and the terrain flown over. Four types of returns were received: crossed slot land returns, crossed slot sea returns, bottom blade land returns, and bottom blade sea returns.

The land returns received during ESTHER from the crossed slot antenna, Figure 68, consisted of one or two peaks rising out of an approximate -146 dbm noise level. The first peak received was the main path signal, typically arriving at the receiver pre-amp with a -115 dbm level. Link calculations of power level received by the RTF helix from the LES 9 low power transmitter give similar numbers indicating that the main path signal-to-noise ratios at the input to the satellite transponder is greater than unity as expected. The main path correlation peak typically had no noise fluctuations greater than the resolution of the strip chart pen. The second peak, when observed, was the land bounce multipath or reflected signal. This signal typically had a -141 dbm received signal level with ±2 db instantaneous fluctuations. The reflected path peak shape was typical of the upper portion of the main path shape indicating an effectively (to the limitation of the noise) specular reflection. Typical delays between the main path and reflected signals were of the order of 12 seconds representing propagation time delays of 40 to 50 microseconds, consistent with aircraft altitude and satellite elevation.

The sea returns received from the crossed slot, Figure 69, were similar to the land returns in nearly all aspects. The prime difference was that the sea multipath returns were received at a level of -133 dbm with ± 3 db instantaneous fluctuations in contrast to the -141 dbm land multipath value.

Table 9: ESTHER Multipath Data

	Ant	Return #'s	Approx. Time	Terrain
_	XS	1 - 18	2138 - 2145	Land
		Calibration	2145 - 2200	Land
	88	19 - 50	2200 - 2230	Land
2	XS	51 - 117	2230 - 2253	Land
		118 - 137	2253 - 2300	Both Land & Sea
	88	137 - 189	2300 - 2334	Land & Sea
က	XS	190 - 221	2335 - 2354	Land & Sea
		221 - 234	2354 - 0002	Sea
	BB	235 - 388	0002 - 0135	Sea
4	XS	389 - 432	0135 - 0201	Sea
	99	433 - 475	0211 - 0236	Sea
2	XS	476 - 510	0236 - 0250	8 99
		511 - 523	0250 - 0306	, and
	88	524 - 555	0306 - 0337	Land
9	XS	556 - 588	0337 - 0405	Land
	99	589 - 621	0405 - 0436	Land/Landing



Over Land Multipath PRN Correlation Return, Cross Slot Antenna FIGURE 68

Over Water Multipath PRN Correlation Return, Cross Slot Antenna FIGURE 69

The land returns received from the bottom blade, Figure 70, were similar to the crossed slot returns in that two similar peaks could be identified. The main path peak represented signal leakage around the aircraft fuselage (with subsequent propagation to the satellite) and its level fluctuated slowly from -118 dbm to -135 dbm on a return-by-return basis. A signal level of -123 dbm represents a nominal return. Typically the main path signal did not fluctuate instantaneously. The multipath peak was typically received with a -128 dbm level with ±3 db instantaneous fluctuations. The onset of this peak corresponded to a 50 microsecond delay from the onset of the main path peak. Nearly 8 microseconds after the onset of the multipath peak a constant signal level 3 db out of the noise was received for more than 100 microseconds.

The sea returns received from the bottom blade, Figure 71, differed from the land returns in a few ways. The main path signal was received with a level that was lower than the land received signal (probably a geometry effect), -132 dbm. It differed from the land main path signals in that ±1 or ±2 dbm instantaneous fluctuations were evident in addition to the expected returns by return fluctuation. The most obvious difference was that the reflected received signal level was a very strong -117 dbm typically with ±2 db fluctuations. Also, the multipath delay profile neither appeared as consistently as in the land return, nor persisted to such long time delays.

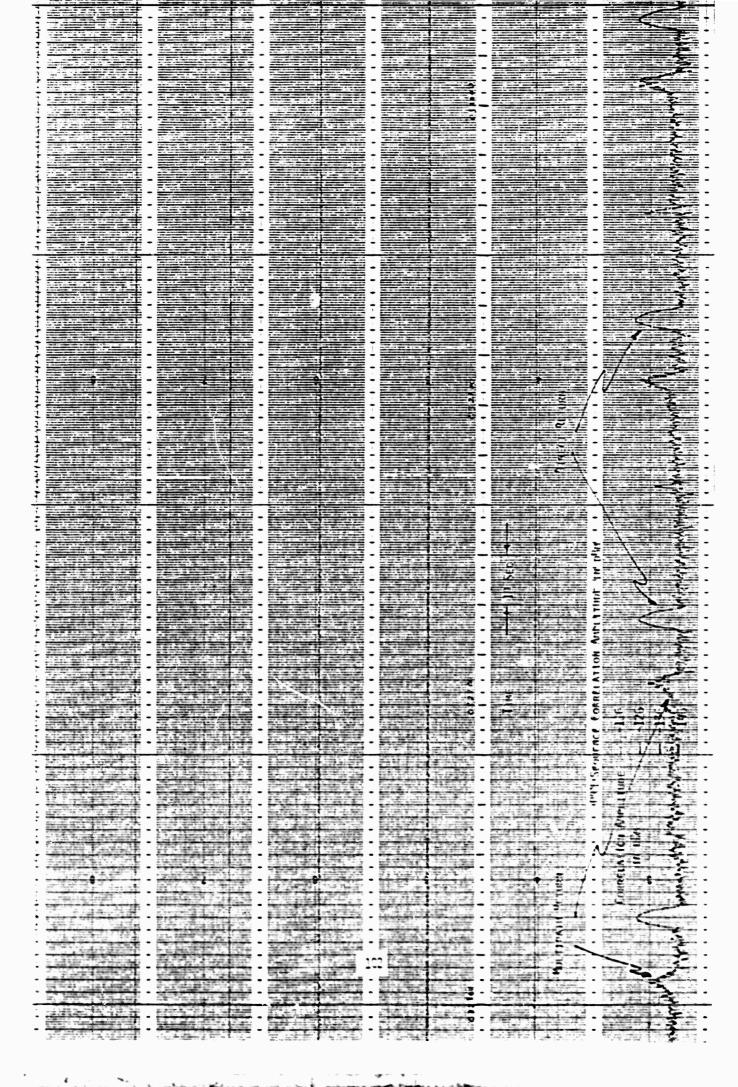


FIGURE 70 Over Land Mullipath Correlation Return, Bottom Blade Antenna

Over Waler Multipath PRK Correlation Return, Bottom Blade Antenna FIGURE 71

VII. CONCLUSIONS

During the five STRESS tests a wide variety of ionospheric scintillation fading was encountered. Fades during the early development of the cloud usually consisted of a single, long defocus, roughly 10 db deep with a single enhancement (focus) prior to and following the defocus. The mid-time fading was Rayleigh-like, usually with rapid fades from 15 to 30 db deep with enhancements of 5 to 10 db. During the late-time the fading was often patchy and Rician-like with slower fading.

The effect of the fading on the teletype character error rate has been estimated for various fading models. Figure 72 shows a series of curves depicting the expected symbol error rate into the decoder for the cases of no fading, flat Rayleigh fading, and selective Rayleigh fading. The difference in symbol energy required to achieve a 5 \times 10⁻² symbol error rate both in no fading and in flat fading is a representative figure of the expected system performance loss in the barium environment. At the 5 \times 10^{-2} symbol error rate into the decoder the message error rate is expected to be approximately onehalf. This loss can be seen to be about 8 db in Figure 72 which is close to the performance loss of 6 db actually observed in the data reduced to date (ESTHER). Sources of error in this comparison are: 1) the assumption of Rayleigh fading, the barium fading used to determine the 6 db loss figure may have been less intense than Rayleigh; 2) the assumption of 5 \times 10⁻² symbol error representing a message error rate of one-half; and 3) the assumption that the fading was flat, some frequency selectivity could be mitigating the fading. Further investigation should be fruitful in this area.

Regarding Assumption 3), the assumption of flat fading, there is some quick-look evidence that the barium induced fading during FERN is indeed non-selective. The effect of frequency decorrelation on the forward UHF downlink

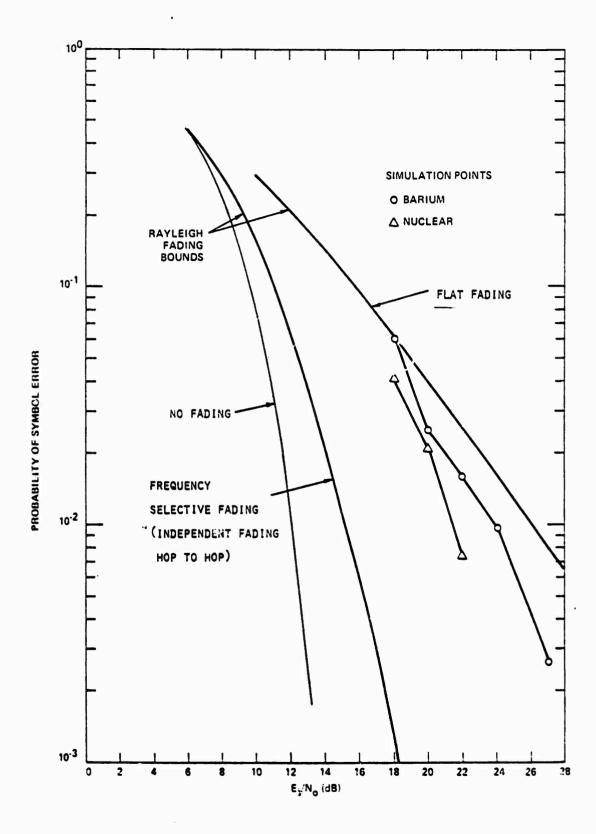
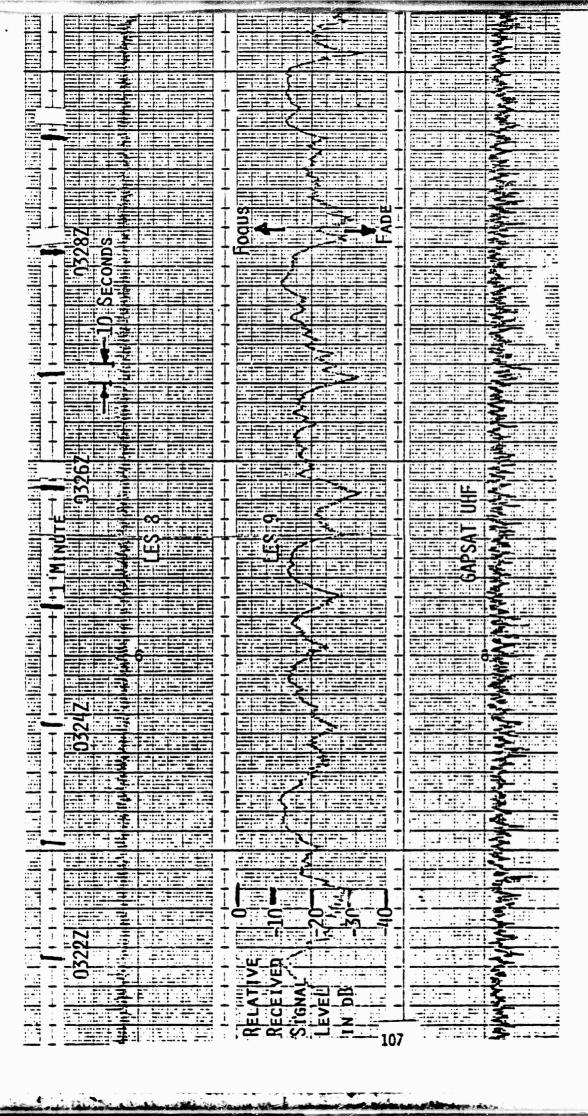


FIGURE 72 Average Character Error Rate Probability, Slow Fading

appeared to be insufficent to change the fading performance during FERN. The error rate in the hopped, or frequency diversity mode, appeared to be almost identical in the fixed frequency "HELP" mode. Note, however, that decorrelation of the uplink and downlink tone, which are separated by 90 MHz, was evident. Frequency diversity of the order observed over the 90 MHz could be used to improve system performance if used in conjunction with an error correction coding system similar to that employed in the LES 8/9 system.

The ionospheric irregularities produced during the STRESS test by the barium cloud produced fading quite similar to that encountered in the equatorial ionosphere. Figures 73 through 77 show examples of fading encountered during an AFAL ionospheric scintillation test in the area of Lima, Peru during March 1977. The rate of fading and depth of fading appeared quite comparable. The physical extent of the fading was naturally much smaller for the STRESS test. In the equatorial region scintillation is often encountered for periods of hours. The barium cloud scintillation also appeared to have a more regular repetitive structure than that encountered in the natural equatorial scintillation situation.

One of the main objectives of the STRESS test was to obtain fading information or to characterize the fading situation of a disturbed ionosphere for extrapolation to a nuclear environment. For this reason it is appropriate to make a comparison between the barium and the expected nuclear environments with considerations to the validity of a systems test, as is summarized in Table 10. The barium induced propagation disturbance was observed to cause UHF Rayleigh fading. This aspect of barium induced fading is a key point of commonality since the signal fading expected to result from nuclear detonation induced ionospheric irregularities also has a Rayleigh amplitude distribution. Apart from this important aspect, the fading from barium will differ from those



Natural Scintillation Peru 27 March 77 FIGURE 73

Natural Scintillati

Natural Scintillation Peru 27 March

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Natural Scintillation Peru 27 March 77 FIGURE 76

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Natural Scintillation Peru 27 March 77 FIGURE 77

Barium Environment causes Rayleigh Fading at UHF

Nuclear Environment causes Rayleigh Fading at UHF But Faster Fading Smaller Correlation Bandwidth

Coded System will operate better in faster fading

Coded frequency-hopped system will operate better if correlation bandwidth is smaller than hopping bandwidth (but larger than signal spectrum)

Table 10: Barium/Nuclear Similarities For System Testing predicted for a nuclear environment in one major and two minor areas. The major difference is that the nuclear environment is expected to cause longlived signal absorption. Barium clouds produce no absorption of significance, and thus, they cannot be straightforwardly used to simulate nuclear induced system effects. However, it is believed that a meaningful test can be conducted if the predicted values of nuclear induced signal absorption are artificially injected into the system channel. Signal attenuation of the UHF system signals received by the subject modem was used in the STRESS experiment for this purpose. The two minor differences between the barium fading and nuclear environments are that generally the barium fading is expected to be slower than the nuclear fading and that the barium fading is expected to be less frequency selective. Although these differences will exist for most nuclear geometries, it should be noted that geometries through the nuclear environment with weaker or less extensive irregularities that have fading rates and frequency selectivities similar to barium are not ruled out. It should also be noted that for the range of fading rates and fading correlation bandwidths involved in most nuclear modelling, those presented by the barium environment are worst case conditions for error correction coded frequency hopping systems (LES 8/9 forward and report-back in particular). Decoders and hard decision demodulators in such systems generally perform better for a given average channel symbol error rate as the channel fading they must correct for causes a more random channel symbol error pattern. A slow face rate causes an undesirable organization of channel symbol error patterns in time as does a large fading correlation bandwidth, which to a wideband hopped signal presents the same fading from hop to hop. If the system's effects were less severe when produced by slower fading or large fading correlation bandwidths, then some doubts might prevail with regard to the ability to use

barium in a systems test. However, because of the worst case conditions of these differences, it is felt that systems tests with barium are meaningful in the assessment of nuclear detonation induced systems effects, given that the attenuation has been set according to the expected absorption.

The PRN multipath testing brought forth several conclusions. Multipath returns obtained while using the crossed-slot antenna indicated that the isolation of the direct from the reflected propagation path was of the order of 17 db ±3 db over sea and 30 db ±5 db over land for 30° to 40° satellite elevation angles. The sea isolation value is in good agreement with data taken from the crossed-slot antenna on the 16 January 1977 test flight. The values of multipath isolation set confidence limits on the determination of the depth of barium induced fading from crossed-slot antenna data. Although fades deeper than the isolation may be observed, it is not possible to attribute them solely to barium cloud effects. (Thermal noise sets similar confidence limits on fading data.) The sea multipath isolation of any antenna is dependent upon three parameters: the direct path antenna gain, the sea state reflection coefficient, and the antenna gain (due to leakage around the aircraft fuselage) in the direction of the received multipath. The first two parameters are relatively uniform over the set of upward looking topside UHF antennas on the aircraft Cl35/662. The last parameter may change moderately and, as a result, the multipath isolation of the topside upward looking antennas may vary by 5 or 10 db from antenna to antenna. The best sea multipath isolation available is 25 to 30 db from Dorne-Margolin hybrid antenna based on the results of pre-STRESS, STRESS, and the 16 January 1977 test flight. This antenna was used in STRESS for transmission of the uplink probe.

Returns obtained while using the bottom blade indicated that sea specular reflections cause a loss of only a few (more than I less than 10) db of signal strength. In contrast land reflections cause a greater (more than 10 db but less than 20 db) loss. The strong sea multipath returns point to the possibility of the use of the sea bounce path as a spatial diversity path through ionospheric fading. Conventional spatial diversity techniques are not implemented in airborne receiver platforms to mitigate ionospheric fading because of the large antenna separations typically required. Use of the multipath bounce channel would overcome the separation problem with the sacrifice of some signal level.

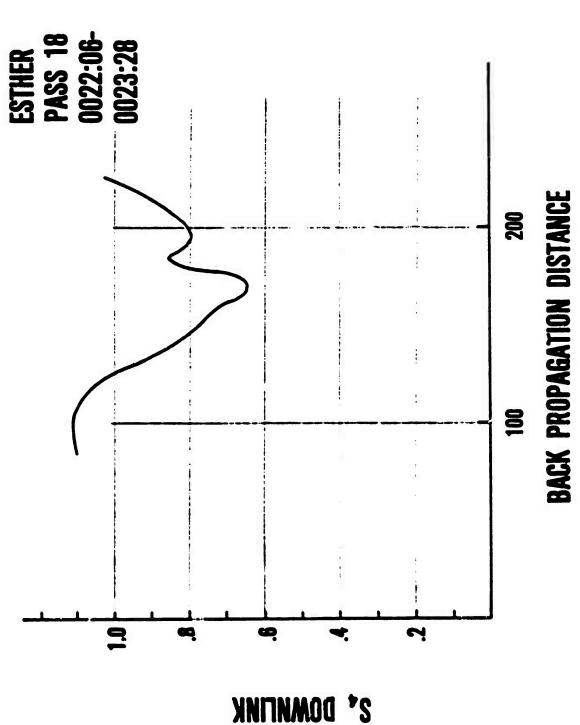
In the bottom blade results a clearer picture of the multipath delay profile is available than previously available in the topside antenna results of pre-STRESS. This picture indicates a multipath profile that is predominantly specular to the 8 microsecond resolution of the PRN measurement. Some diffuse energy arrived after this specular return in both sea and land results. However, it was observed more consistently in the land results. In the land results diffuse energy arrived at the satellite as late as 100 microseconds after the start of the main path reflection in many returns. This delay corresponds to energy arriving with a 30 kilometer longer path length over and above the extra 15 kilometer specular multipath length.

VIII. RECOMMENDATIONS FOR FUTURE EFFORTS

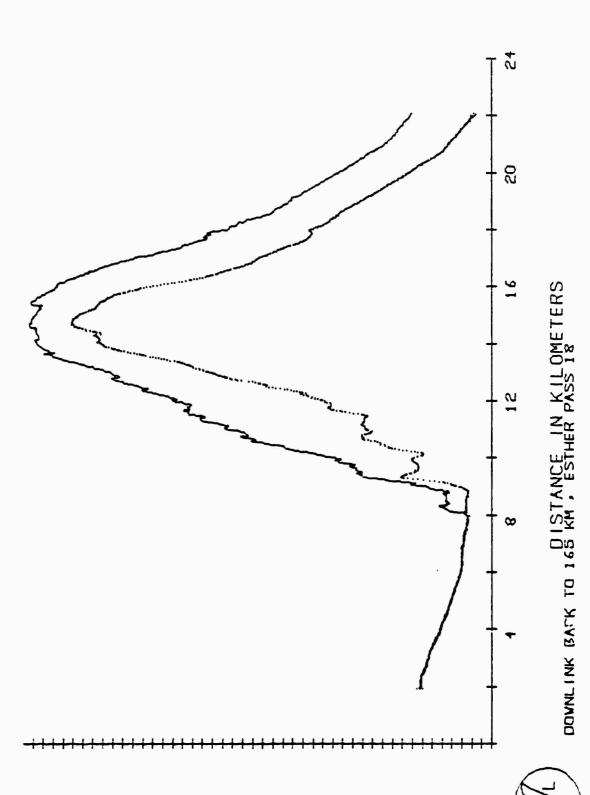
Additional reduction and analysis of the STRESS data is planned. As discussed previously, the downlink hop data can be reduced along with coarse frequency command recordings to give information on the frequency decorrelation of the downlink hop propagation medium. It is also possible to back-propagate the recorded propagation probe data to determine the true integrated electron content of the barium cloud for phenomenological purposes. Such a technique has been used with downlink data from Pass 18, ESTHER, the amplitude fading of which is shown in Figure 58. Figure 78 shows the scintillation index (S_A) of the data versus back propagation distance. The minimum of about 165 kilometers indicates that most of the fading effects seen at the ground were due to barium structure at this altitude. The dotted curve in Figure 79 is the phase of the field at this altitude (contrast the phase at the ground in the solid curve) which is a very good indicator of the actual integrated electron content. Back propagation analyses such as these are planned to assist phenomenological interpretation of ion cloud behavior and to check propagation prediction techniques. A thorough data reduction effort is planned to extend through June 1978.

path measurements indicate that signals reflected off the ocean provide a signal path through the ionosphere both spatially distinct from main path signals and strong enough to establish a spatial diversity gain through ionospheric fading. The 600 meter spatial correlation lengths of ionospheric fading prevent the use of conventional spatial diversity techniques on airborne force elements. Plans exist for future testing of the sea multipath

Back Propagation of ESTHER PASS 18 Downlink Data FIGURE 78



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spatial diversity path against equatorial fading using LES 8 or LES 9 to evaluate the usefulness of the concept. Such a test could occur in the Fall of 1977.

Additional testing/simulation is planned to further evaluate the relationship between fading level, acquisition time, and bit-error rate.

Magnetic tape recordings have been made of the UHF signal levels received during the STRESS test and during natural, equatorial ionospheric scintillation fading tests. These tape recordings will be used to generate a fading signal for the additional evaluation. AFAL's Communication System Evaluation Laboratory is equipped with a LES 8/9 satellite simulator.

This satellite simulator can produced a UHF forward downlink signal which will be modified by the signal level traces recorded during the STRESS and equatorial scintillation tests. The UHF satellite receiving system utilizing the single UHF modem or the dual UHF modem can be subjected to repeated tests using a selected fading pattern and varying the median signal level. This technique will allow meaningful evaluations of acquisition times during various fading structures with various signal level margins. These tests are planned for Fall 1977.

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